



US009712398B2

(12) **United States Patent**  
**Hamburger et al.**

(10) **Patent No.:** **US 9,712,398 B2**  
(45) **Date of Patent:** **Jul. 18, 2017**

(54) **AUTHENTICATING CONNECTIONS AND PROGRAM IDENTITY IN A MESSAGING SYSTEM**

(58) **Field of Classification Search**  
CPC ... H04L 63/0428; H04L 15/167; H04L 51/36;  
H04L 67/42; H04L 41/20; H04L 51/30;  
H04L 63/0442

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(Continued)

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(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **15/011,334**

(22) Filed: **Jan. 29, 2016**

(65) **Prior Publication Data**

US 2016/0226835 A1 Aug. 4, 2016

**Related U.S. Application Data**

(60) Provisional application No. 62/109,512, filed on Jan. 29, 2015.

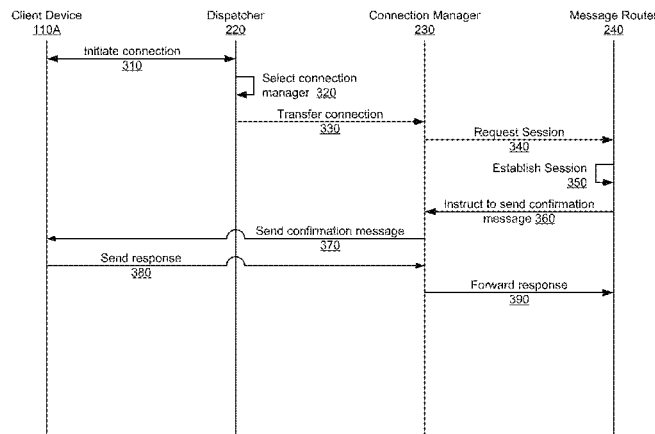
(51) **Int. Cl.**  
**H04L 29/06** (2006.01)  
**H04L 12/24** (2006.01)  
(Continued)

(52) **U.S. Cl.**  
CPC ..... **H04L 41/20** (2013.01); **G06F 15/167** (2013.01); **H04L 51/30** (2013.01); **H04L 51/36** (2013.01);  
(Continued)

(57) **ABSTRACT**

A messaging system enables client applications to send and receive messages. The messaging system includes independent component programs performing different functions of the messaging system, such as connection managers that maintain network connections with the client applications, a message router that sends received messages to recipient applications through network connections, and a dispatcher that authenticates other component programs. A messaging server may authenticate client applications using certificate-based authentication (e.g., private and public keys), authentication transfer from another trusted messaging server, or other methods (e.g., user name and password). To authenticate a component program, the dispatcher compares instantiation information (e.g., user identity, process identifier,

(Continued)



creation time) of the component program provided by the operating system with instantiation information saved in a shared memory at the time of the component program's instantiation. In response to a match, the dispatcher provides the component program with secure information through an inter-process communication socket.

**14 Claims, 7 Drawing Sheets**

- (51) **Int. Cl.**  
*H04L 12/58* (2006.01)  
*G06F 15/167* (2006.01)
- (52) **U.S. Cl.**  
 CPC ..... *H04L 63/0442* (2013.01); *H04L 67/42* (2013.01)
- (58) **Field of Classification Search**  
 USPC ..... 713/168; 726/30  
 See application file for complete search history.

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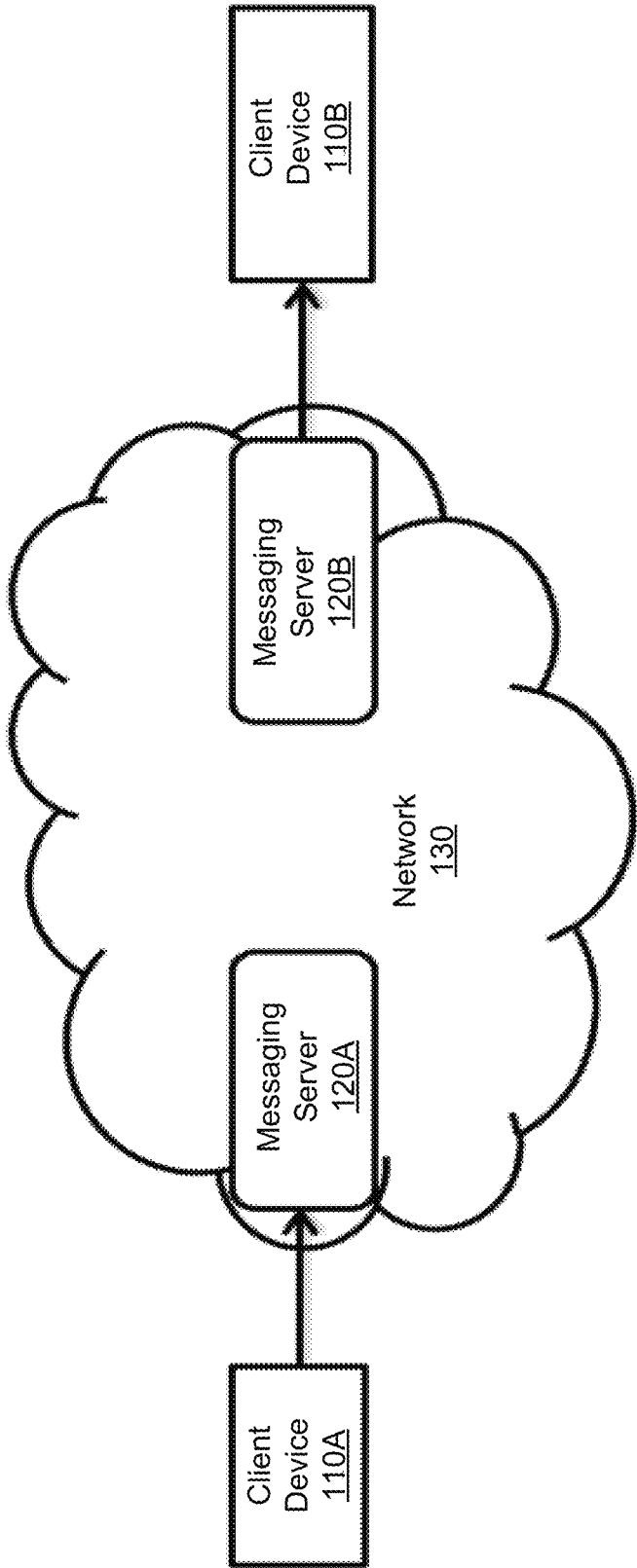


FIG. 1

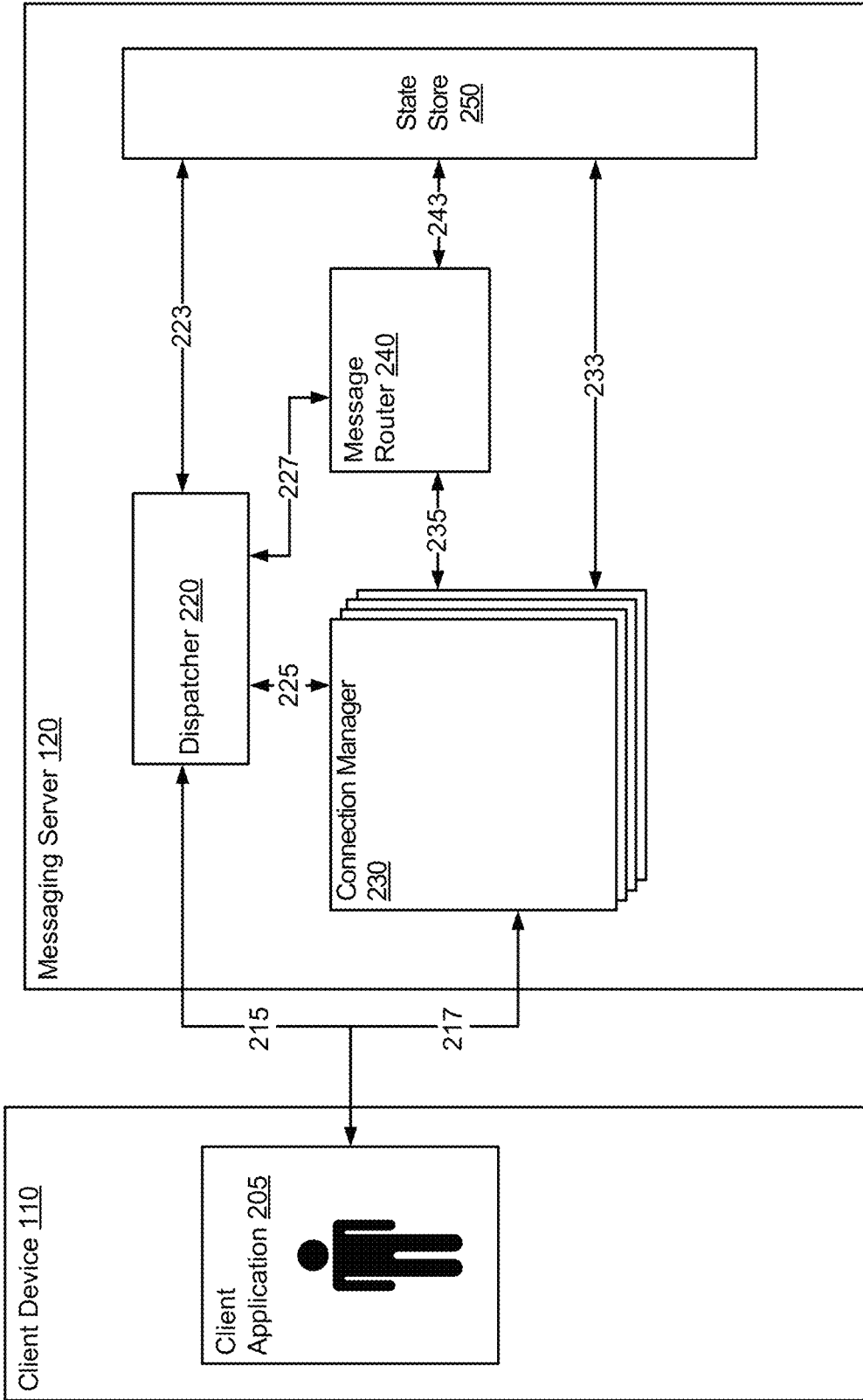


FIG. 2

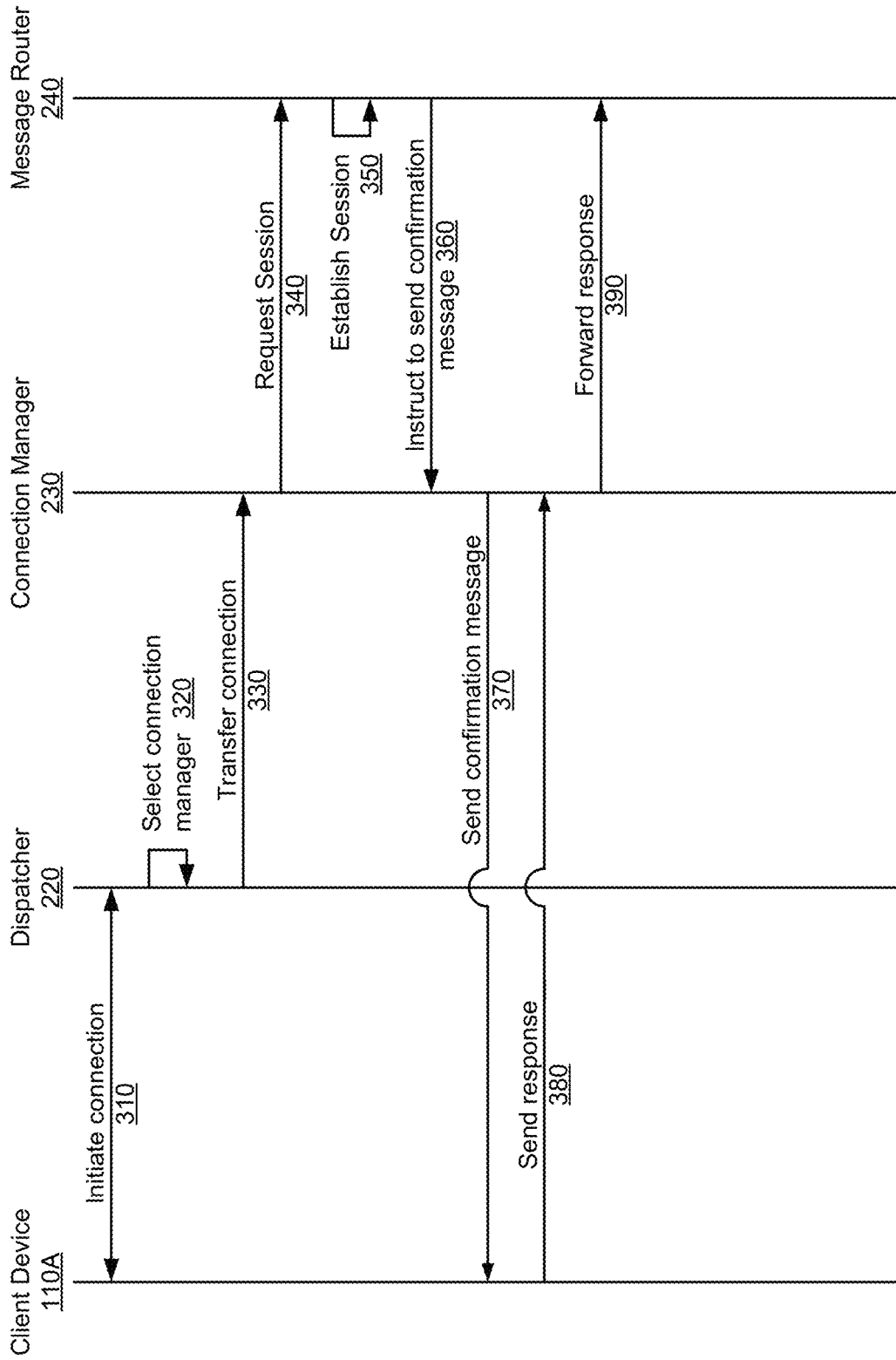


FIG. 3

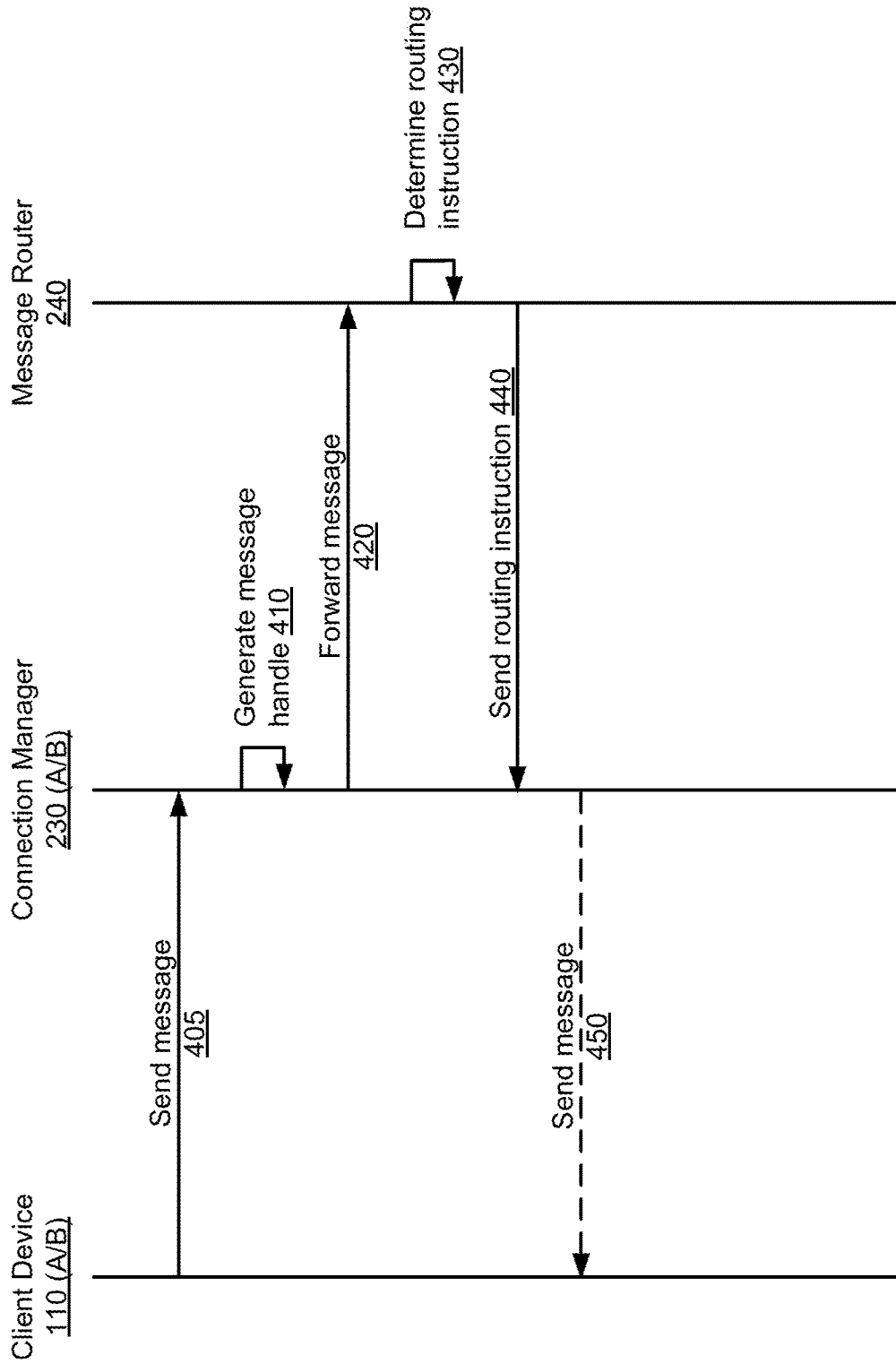


FIG. 4

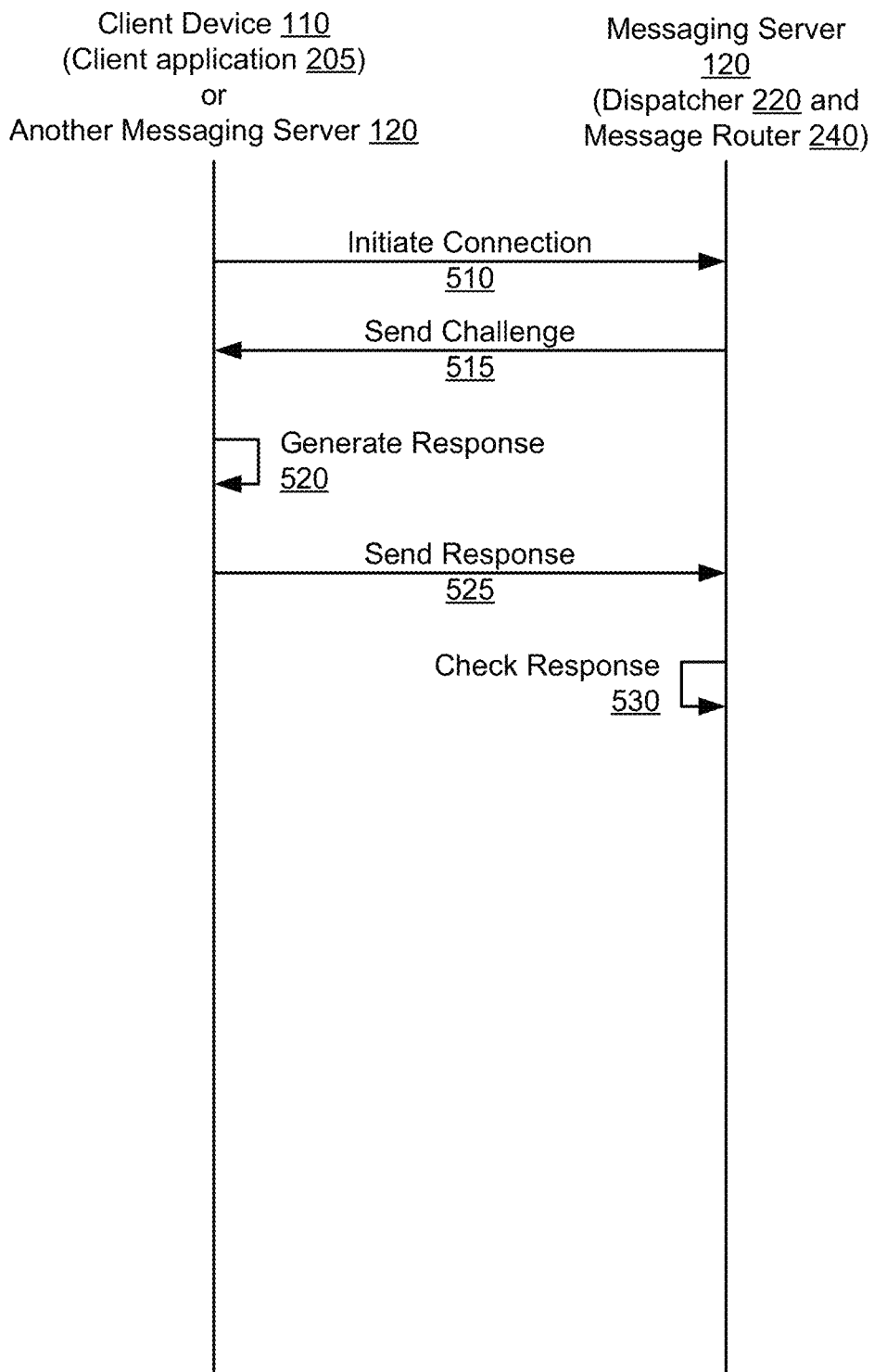


FIG. 5

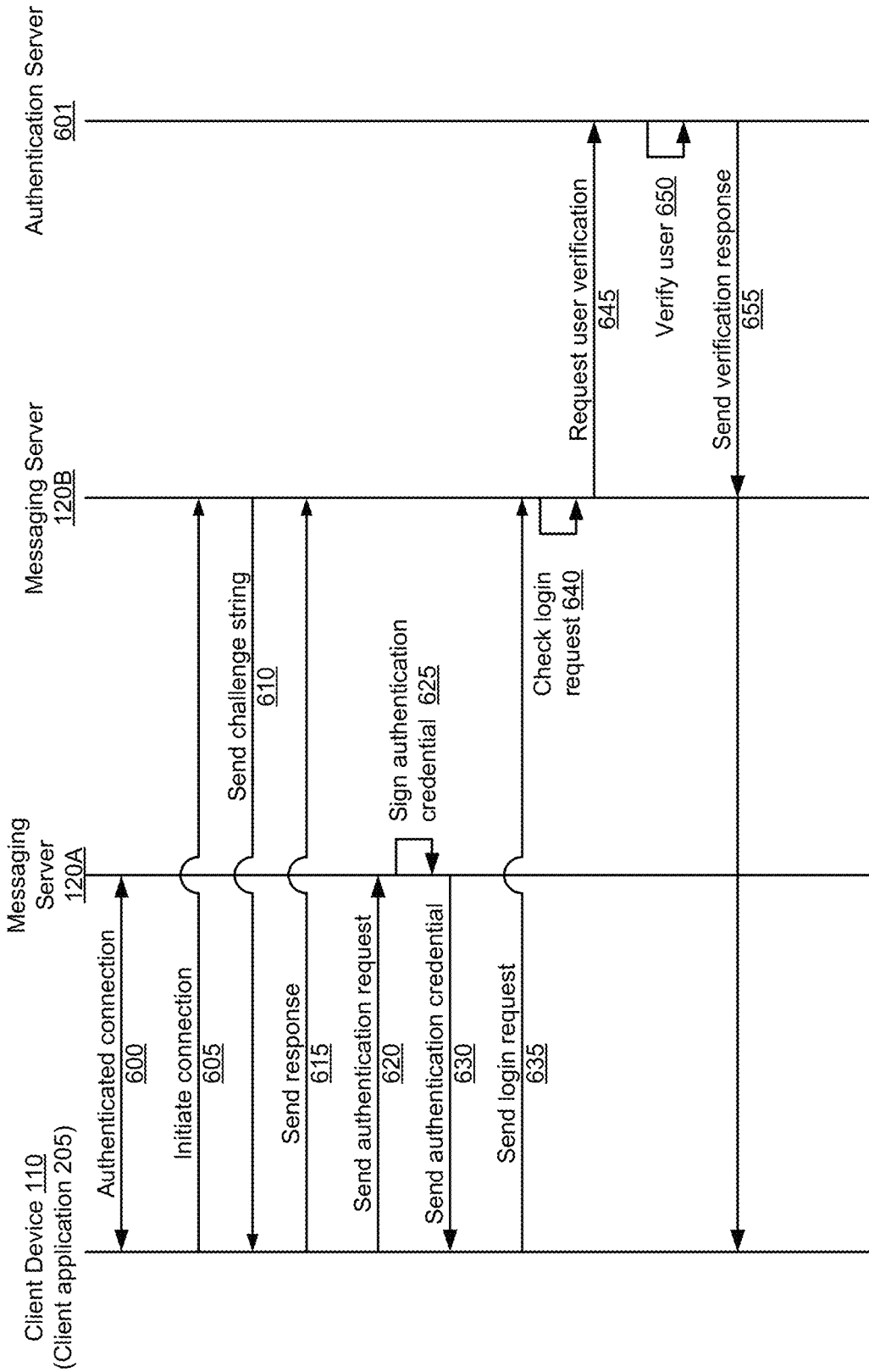


FIG. 6



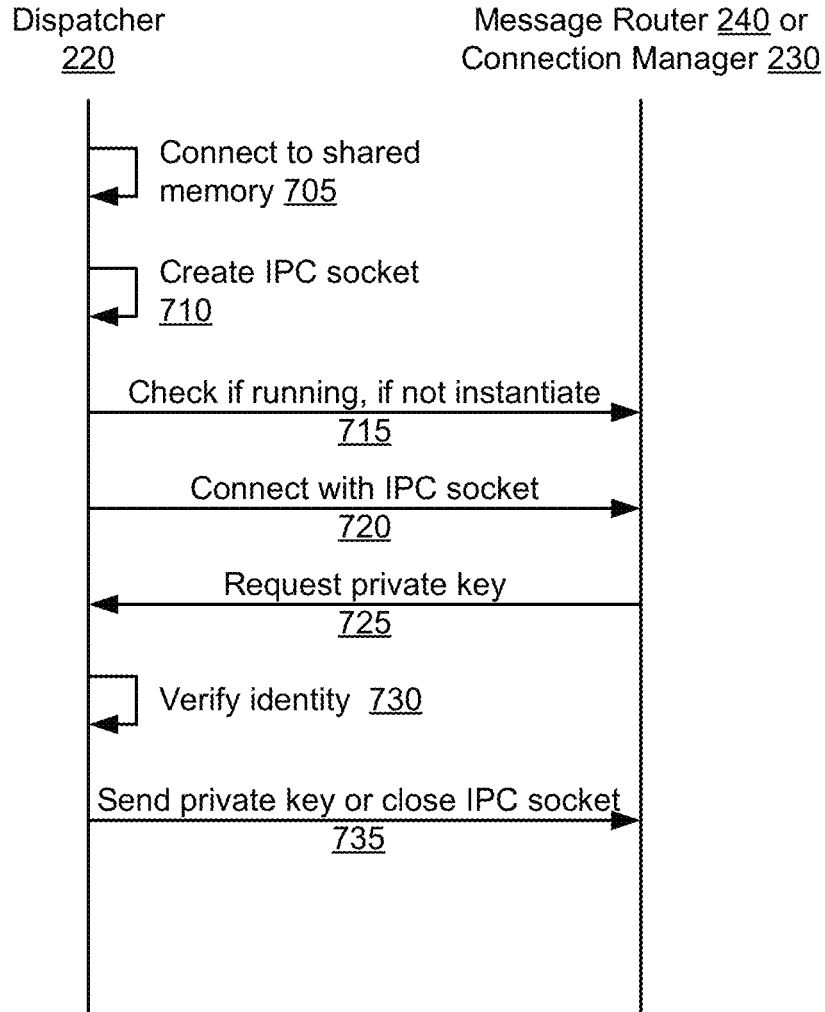


FIG. 7

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## AUTHENTICATING CONNECTIONS AND PROGRAM IDENTITY IN A MESSAGING SYSTEM

### CROSS-REFERENCE TO RELATED APPLICATION

This application claims the benefit of U.S. Provisional Patent Application No. 62/109,512, filed Jan. 29, 2015, which is incorporated by reference herein in its entirety.

### BACKGROUND

The present disclosure generally relates to a messaging-oriented middleware system and, more particularly, to establishing a chain of trust for secure connections between component programs of the messaging system and a client application.

Messaging-oriented middleware systems (also referred to herein as “messaging systems”) facilitate communication between client applications distributed across diverse operating environments. For example, a messaging system enables communication between client applications executed by different servers with different operating systems. The messaging system includes different component programs on a messaging server as well as a client device. Establishing a chain of trust between these programs is difficult because many of these programs are started automatically without direct intervention by a user. When a user starts a program, the user may establish a chain of trust using a user name and password that can be verified through a system such as lightweight directory access protocol (LDAP). However, programs started automatically cannot request a password from a user. This problem occurs both when a client device establishes a connection with the messaging system and when the messaging system instantiates a component program. Overall, password-based systems are inadequate for authenticating automatically initiated programs in a messaging-oriented middleware system.

### SUMMARY

A messaging system enables client applications to send and receive messages in a format independent of the client applications’ respective operating environments. The messaging system includes independent component programs performing different functions of the messaging system to improve messaging system reliability and flexibility. The independent component programs of the messaging server include persistent connection managers that maintain connections with the client applications as well as an easily updateable message router that directs received messages to recipient applications through corresponding network connections. The component programs may also include an easily updateable dispatcher that establishes connections, authenticates client applications and the component programs of other messaging servers, and manages the connection managers.

According to one aspect, the messaging system ensures authentication of component programs by performing the following steps. The dispatcher stores a private key in a memory accessible by a root user identity and creates an inter-process communication (IPC) socket connection with a component program that is either a message router or a connection manager. The component program does not have permission to access the memory storing the private key. The dispatcher determines that the component program is

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running. In response to the component program connecting to the IPC socket connection, the dispatcher (a) obtains a first set of instantiation information describing the component program from shared memory; (b) obtains a second set of instantiation information from an operating system regarding the component program; (c) compares the first instantiation information to the second instantiation information; and (d) sends the component program the private key through the IPC socket connection if the first instantiation information matches the second instantiation information.

According to another aspect, a messaging system authenticates network connections between a remote program and a messaging server by performing the following steps. The connection manager receives, at one of its ports, a network connection transferred from the dispatcher. The message router sends (through the connection manager) a challenge string containing data unique to the network connection. The message router receives (through the connection manager) a challenge string response from the remote program. The challenge string response includes a signature on the challenge string from with a private key paired with an approved public key. The message router verifies whether the received challenge string response is valid for the network connection. In response to determining that the received challenge string response is valid, the message router processes messages sent through the network connection. In response to determining that the received challenge string response is invalid, the message router instructs the connection manager to disconnect from the client application by closing the network connection.

According to another aspect, the messaging system performs authentication transfer for a client application having an authenticated network connection with a first messaging server in a first messaging environment to enable the client application to login to a second messaging server in a different messaging environment by performing the following steps. The second messaging server receives a request from the client application to initiate a connection and sends a challenge string to the client application. The second messaging server receives a login request from the client application, which includes the client application’s user identity and incorporates the second messaging server’s challenge string, as encrypted by the first messaging server using the first messaging server’s signature. The second messaging server decrypts the data in the login request and verifies the authentication credential included in the login request by verifying that the challenge string matches the originally sent challenge string. If the authentication credential is valid, the second messaging server verifies the user identity and messaging environment metadata included in the login request. If the authentication credential, user identity, and messaging environment metadata are valid, the second messaging server processes messages received from the client application.

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure (FIG. 1 is a block diagram of a system environment including a messaging system and client devices, in accordance with an embodiment.

FIG. 2 is a block diagram illustrating modules within a client device and messaging server, in accordance with an embodiment.

FIG. 3 is an interaction diagram illustrating a client application establishing a connection with the messaging system, in accordance with an embodiment.

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FIG. 4 is an interaction diagram illustrating a client application sending and delivering a message to the messaging system, in accordance with an embodiment.

FIG. 5 is an interaction diagram illustrating an example process of authenticating a network connection, in accordance with an embodiment.

FIG. 6 is an interaction diagram illustrating an example process of transferring authentication for a client device between messaging servers, in accordance with an embodiment.

FIG. 7 is an interaction diagram illustrating an example process of authenticating identity of a component program, in accordance with an embodiment.

### DETAILED DESCRIPTION

The Figures (FIGS.) and the following description describe certain embodiments by way of illustration only. One skilled in the art will readily recognize from the following description that alternative embodiments of the structures and methods illustrated herein may be employed without departing from the principles described herein. Reference will now be made in detail to several embodiments, examples of which are illustrated in the accompanying figures. It is noted that wherever practicable similar or like reference numbers may be used in the figures and may indicate similar or like functionality.

Figure (FIG. 1) is a block diagram of a system environment including messaging system and client devices **110A** and **110B** (generally, client devices **110**), in accordance with an embodiment. The messaging system includes messaging servers **120A** and **120B** (generally, messaging servers **120**), which are communicatively coupled to each other and to client devices **110A** and **110B** through the network **130** (which may include a cloud of messaging servers **120**).

The client devices **110** are computing devices including both user devices and servers. User devices include applications to enable users to view, input, manipulate, and modify information, which may be stored locally or retrieved from another device. Example user devices include desktop computers, laptop computers, servers, smartphones, tablet computers, or any other type of network-enabled device. Servers include databases that store information or programs that generate, modify, and delete information, both automatically and in response to commands from user devices and other servers. Example servers include rack-mounted servers with a Unix-based operating system. Some client devices **110** have characteristics of both user devices and servers.

The messaging system facilitates communication between client applications executed by client devices **110** by providing messaging-oriented middleware functionality. A client application communicates with at least one other client application through messages passed by the messaging system. In a typical use case referred to herein, client device **110A** executes a client application that communicates with another client application executed by client device **110B** through the messaging system. However, different client applications executed by the same client device **110** may communicate through the messaging system, and different instances of the same client application may communicate through the messaging system.

The messaging system includes one or more messaging servers **120** (e.g., messaging servers **120A** and **120B**), which are co-located with client devices **110**, remotely located from client devices **110** (e.g., in a data center), or geographically dispersed (e.g., in a plurality of data centers, virtual

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machines, or cloud computing environments). Using a plurality of messaging servers **120** beneficially improves reliability and scalability of the messaging system. For example, the messaging system may include resiliency functions that identify when a messaging server **120** has failed and distribute the functionality of the messaging server **120** to other active messaging servers **120** or to a backup messaging server **120**. Furthermore, the messaging system uses load balancing to distribute messages between similar client applications to improve responsiveness.

As used herein, a “message” refers to any communication between client applications. Example messages include a request for information from a client application, a response including the requested information, unrequested information (e.g., an update, a status report), a command for a client application, and a confirmation indicating a result of the command. The message may include encoded information representing text, numerical data, structured data (e.g., a database table), audio data, image data, video data, programmatic commands, or a combination thereof. The message may further include a header with routing information used to identify message recipients or topics that recipients are registered to receive.

The messaging system sends and receives messages through connections with client applications, which are typically persistent connections provided by a protocol with guaranteed transmission (e.g., Transmission Control Protocol (TCP), Stream TCP). Using a guaranteed-delivery protocol beneficially improves reliability of the messaging system and simplifies development of client applications that interface with the messaging system. In general, the messaging system receives a message, stores the message, identifies one or more client applications to receive the message, and sends the message to the identified client applications. Typically, the messaging system retains the message in storage only until the messaging system verifies delivery of the message to the identified client applications.

The messaging system supports one or more messaging modes, which indicate the number of message recipients and whether a response is expected. The messaging modes include broadcast mode, load balanced request/response (semaphore) mode, session (continuous semaphore) mode, fanout request mode, inter-process communication (IPC) mode, or a combination thereof.

In broadcast mode, a client application sends a message to one or more client applications without expecting a response. The broadcast message indicates a topic to which the client application is publishing the message. The messaging system delivers the broadcast message to client applications subscribed to the topic. A client application may both publish and subscribe to a topic, and multiple client applications may publish to a topic. If no client application is subscribed to the topic, then the messaging system does not deliver the broadcast message to any client application. To reply to a broadcast message, a client application may publish a broadcast message to a topic to which the publishing client application is subscribed or may use a different messaging mode.

In semaphore mode, a client application sends a single request to a specified client application and expects one or more response messages from the other client application. In continuous semaphore mode, a client application sends multiple request messages to a specified client application and expects one or more responses from the other client application. In a fanout request mode, a client application sends request messages to all client applications listening on a particular topic and expects to receive response messages

from all of them. For example, a request mode message is sent to all client applications of a particular program type, belonging to a particular organization, or both. In IPC mode, two client applications exchange messages. For example, two client applications on the same client device **110** may exchange messages in IPC mode to facilitate remote method calls or execution or communication between two different operating environments.

The client devices **110** and the messaging servers **120** are connected via a network **130**, which may be any suitable communications network for data transmission. The network **130** uses standard communications technologies and/or protocols and can include wide-area networks (e.g., the Internet), local-area networks (e.g., an organization's intranet), or both. In another embodiment, the network **130** includes custom and/or dedicated data communications technologies.

Typically, both client devices **110** and messaging servers **120** include hardware and software to connect to network **130** (e.g., via Ethernet, Wi-Fi, or other telecommunication technologies), store information (e.g., volatile-memory, non-volatile memory, another computer-readable medium), and process information (e.g., a processor). A client device **110** or messaging server **120** may optionally include hardware and software to present information (e.g., a display device, a projector, an audio speaker), to receive user commands and other user inputs (e.g., an input peripheral, a microphone, a camera), or both.

Although FIG. 1 illustrates two instances of the client devices **110** and the messaging servers **120**, the system environment may include any number of these devices. The messaging system may include a single messaging server **120** or a plurality of messaging servers **120**. Where the messaging system includes a plurality of messaging servers **120** in a data center, the messaging servers **120** may be hierarchically organized, such as in a tree structure with one messaging server **120** serving as a root node for the data center, or with any other topology. The messaging system may be distributed across a plurality of data centers. In this case, one or more messaging servers **120** may serve as global hubs that coordinate communication between messaging servers **120** in different data centers. If the messaging servers **120** are organized in a tree hierarchy within the data center, the messaging servers **120** serving as root nodes of respective data centers may also be child nodes with respect to global hub nodes.

System Architecture

FIG. 2 is a block diagram illustrating modules within a client device **110** and messaging server **120**, in accordance with an embodiment. Some embodiments of the client device **110** and messaging server **120** have different or additional modules than the ones described here. Similarly, the functions can be distributed among the modules in a different manner than is described here, and the modules of the messaging server **120** may be executed by multiple messaging servers **120**.

The client device includes one or more client applications **205**. A client application **205** refers to any application that communicates through the messaging system **120**. Example client applications support database management, person-to-person communication, multimedia streaming, operations management, accounting, regulatory compliance, asset trading, asset monitoring, or any other enterprise or recreational function. A client application may include an application programming interface (API) that other programs may use to request information from the client application **205** or to send commands to the client application **205**. A client

application **205** may include a graphical user interface (GUI) for a user to review, provide, and manipulate information.

The client application **205** generates a message for the messaging system, and sends the message to a messaging server **120**. From the standpoint of the messaging system **120**, the message is raw data that is not interpreted by the messaging system itself. This data could represent anything, such as raw text, structured data, a serialized Java object or a structured document in JavaScript Object Notation (JSON) or Extensible Markup Language (XML). To generate a message, the client application **205** generates a message body that incorporates the information and a message header that identifies a type of the message and any necessary routing information. For example, the client application **205** may encode the information into a byte format. As part of encoding the information, the client application **205** may encrypt the information to improve security. As expected, the client application **205** may also receive messages from the messaging server **120**.

The client application **205** generates a header with parameters may include any information not part of the main body of content of the message, such as a messaging mode (e.g., broadcast mode, semaphore mode) and one or more topic identifiers corresponding to the messaging mode, or any other necessary routing information. For the broadcast mode, the topic identifier identifies which recipient client applications **205** are subscribed. For other messaging modes (e.g., semaphore mode, request mode, IPC mode), a publish subscribe model or a direct addressing model may be used such that a set of one or more receiving applications **205** use a semaphore register for a topic identifier.

The messaging server **120** is comprised of three separate programs modules including a dispatcher **220**, one or more connection managers **230**, a message router **240**, and a state store **250**.

The client application **205** is communicatively coupled to the dispatcher **220** and connection manager **230** by network connections **215** and **217**, respectively. The client application **205** is not necessarily simultaneously coupled to the dispatcher **220** and connection manager **230** by network connection **215** and **217**, however. For example, the client application **205** establishes network connection **215** with the dispatcher **220**, which transfers the network connection **215** to the connection manager **230**, thereby establishing network connection **217**. The network connections **215** and **217** are generally transport-layer network connections implemented using connection oriented communications protocol having a guaranteed transmission mechanism (e.g., TCP, stream TCP). However, the transport-layer network connections **215** and **217** may be replaced or supplemented by another connection oriented network communication mechanism.

The dispatcher **220** is communicatively coupled to the connection manager **230** using IPC socket connections **225**. The IPC socket connections **225** enables ordered reliable sending of datagrams, stream, and file descriptors between processes in the operating system kernel, so the IPC socket connections **225** may be used to pass network connections (e.g., **215** and **217**) between program modules (e.g., **220** and **230**) executed within the same operating environment. For example, the IPC socket connections **225** may be a Unix domain socket. The dispatcher **220** is similarly coupled to the message router **240** using IPC socket connection **227**.

The message router **240** is connected to each connection manager **230** through a pair of messaging queues **235**, one in each direction. These queues **235** are an IPC mechanism that delivers data objects in the same order they were sent.

This transmission of data objects is reliable and persistent. In other words, the messaging queue has a first in, first out (FIFO) structure. A messaging queue includes internal structure that separates discrete data objects placed in the messaging queue **235**, which facilitates reading of the messaging queue by a recipient component program. One example messaging queue **235** is a Portable Operating System Interface (POSIX) messaging queue. Data objects in a messaging queue **235** are generally stored in memory allocated to the kernel of an operating system executed by a messaging server **120**. Alternatively or additionally, data objects in a messaging queue **235** are stored in a file system or other kernel persistent memory such as state store **250**.

The dispatcher **220**, the connection manager **230**, and the message router **240** may access, write, modify, and delete data in the shared memory **250** through memory connections **223**, **233**, and **243**, respectively. The shared memory **250** may be memory mapped location accessible by the program modules or a subset thereof. Accordingly, different program modules may share the same objects in memory, facilitating inter-process communication between the program modules. As an example, the memory connections **223**, **233**, and **243** access POSIX memory mapped files. However, a given program component cannot necessarily access all memory locations in the shared memory **250**. Instead, some memory locations are accessible only to a subset of the component programs, as described in further detail below.

The dispatcher **220** establishes network connection **215** with a client application **205** in response to receiving a connection request from the client application **205**.

Having established the network connection **215**, the dispatcher **220** selects a connection manager **230** and transfers the network connection **215** to the selected connection manager **230**. The dispatcher **220** selects a connection manager **230** according to a load balancing mechanism. A variety of load balancing mechanisms are possible. For example, the dispatcher **220** may load balance by determining a utilization rate among connection managers **230** accessible by the dispatcher **220**. For example, the utilization rate may refer to a number of the connection manager's network connections. After selecting a connection manager **230**, the dispatcher **220** transfers the connection **215** to it through the IPC socket connection **225**. As part of transferring a network connection, the dispatcher **220** stores a connection state in state store **250**. The connection state describes the network connection **215** and associates the network connection with the selected connection manager **230**. Establishing a connection **217** is described in further detail with respect to FIG. 3.

The connection manager **230** maintains network connections transferred from the dispatcher **220**. The connection manager **230** sends and receives messages through network connection **217**. The connection manager **230** stores received messages by storing the message body, the message header, or both in state store **250**. The connection manager **230** notifies message router **240** of the received message by forwarding a reference to the message to messaging queue **235**. For example, the connection manager **230** generates a handle to identify the message. The handle may correspond to the storage address of the message in the state store **250**. The connection manager **230** transfers the handle, the message header, or both to the message router **240** through the messaging queue **235**.

Additionally, connection managers **230** process (e.g., assemble, send, delete) messages in response to message routing instructions received from the message router **240** through the messaging queue **235**. For example, a message

routing instruction to send a message includes a message header and a message handle. The connection manager **230** accesses the message body corresponding to the message handle from the queue **235**, assembles a message including the message body and the message header, and sends the message to one or more recipient client applications based on the topic identified by the message header. For example, the connection manager **230** sends the message through network connection **217** to the client application **205** on the client device **110**.

Because a message may be sent to multiple recipients, multiple connection managers **230** may share access to the message in the shared memory **250**. To ensure that a message is retained until it has been sent to all intended recipients, the connection manager **230** may access and update a completion state associated with the message in the shared memory **250**. The completions state indicates completion of routing instructions related to a message. For example, in response to a routing instruction to send a message, the connection manager **230** updates the completion state to reflect completion of the routing instruction. In response to a routing instruction to delete a message, a connection manager **230** compares the completion state with a completion condition included in the routing instruction to delete the message. If the completion state fulfills the completion condition, the connection manager **230** deletes the message. If the completion state does not fulfill the completion condition, the connection manager will simply leave the message as is, as it is presumed that another connection manager still needs to act on a routing instruction with the message in order to fulfill the completion condition.

The message router **240** receives a reference to a received message from a connection manager **230** and generates routing instructions for one or more connection managers **230** to deliver the message. The reference to the received message may include a message handle, a message header, or both. The message router **240** determines one or more topic identifiers of the message based on the message header. For example, for a broadcast message, the message router **240** determines recipient client applications **205** that are subscribed to a topic indicated by a topic identifier in the message header. The message router **240** then determines the network connections **217** corresponding to the recipient client applications **205**, and determines the connection managers corresponding to the determined networked connections **217**. The message router **240** sends those connection managers **230** routing instructions to send the message to the recipient client applications **205** using the determined connections **217**. The routing instructions include a message handle as well as a message header that may include the topic identifier and in some instances the messaging mode as well. The routing instruction is delivered over the messaging queue **235** to a particular connection manager **230** in the same order they were sent by the message router **240** to ensure predictable behavior. For example, ensuring in-order delivery of instructions to a connection manager **230** ensures that the recipient client application **205** receives the messages in the same order they were sent by the sender client application **205**.

The message router **240** maintains a routing state that is associated with a message in state store **250**. The routing state of a message corresponds to the routing instructions issued to connection managers **230**. For example, as part of issuing a routing instruction, the message router **240** updates the message's routing state to reflect that the routing instruction has been sent to the connection manager **230**. When the message router **240** sends a last routing instruction pertain-

ing to a message handle, the message router **240** determines a completion condition and attaches the completion condition to the last routing instruction. In one embodiment, the completion condition is the touch count. The last routing instruction may either be the last substantive action to be taken with respect to the message, or it may be an additional routing instruction sent after the routing instruction handling the last substantive action to explicitly request deletion of the message identified by the message handle when an included completion condition is fulfilled. Using the completion condition, the connection managers **230** tasked with fulfilling the last routing instruction can independently verify whether the message is ready for deletion.

The state store **250** maintains state information accessible to at least a subset of the component programs **220**, **230**, and **240** and maintained by the component programs, as described above. The state information includes message bodies, message headers, a completion state, a routing state, and a connection state. In some embodiments, the state store **250** is segmented so that different modules may access and modify only a subset of the state information.

The state store **250** may contain message payloads accessible to the connection managers **230**, and message headers accessible to the message router **240**. Additionally, the connection managers **230** and message router **240** pass message headers between them through messaging queue **235**. The state store **250** further contains a table of connection states describing the network connections **215** and **217**. The connection states are accessible by the connection managers **230**, the dispatcher **220**, or both. For example, the table entry for a network connection **217** may include any one or more of a socket used by the corresponding connection manager **230**, an assigned UID of the socket or corresponding client application **205**, and authentication information.

The state store **250** is hosted on memory allocated independently from the dispatcher **220**, and message router **240**, so a planned restart and/or update to any of these programs will not result in the loss of the state information.

Updates to the dispatcher **220** may result in delays to establishing new connections, but the connection manager **230** may maintain existing connections while the dispatcher **220** is updated. Similarly, updates to the message router **240** may delay routing of received messages, but the state store **250** that contains the received messages, routing state, and completion state is not affected by changes to the message router **240**.

#### Establishing a Connection with a Client Application

FIG. 3 is an interaction diagram illustrating a client application establishing a connection with the messaging system, in accordance with an embodiment. The client device **110A** (e.g., client application **205**) initiates **310** network connection **215** with the dispatcher **220**. The client device **110A** initiates **310** the connection by contacting the dispatcher **220** at a socket and waits for establishment of the network connection **215**. The dispatcher **220** accepts the network connection **215** and acknowledges the establishment of network connection **215** to the client device **110A**.

The dispatcher **220** selects **320** a connection manager **230**. As described previously, the dispatcher **220** selects **320** the connection manager **230** to ensure load balancing among connection managers **230**. The dispatcher **220** transfers **330** the connection to the selected connection manager **230**. To transfer the connection the dispatcher **220** generates a UID for the network connection **215** and identifies host information of the client application **205** and/or the client device **110A**. The dispatcher **220** sends the UID for the network

connection and the host information through IPC socket connection **225**. The host information may include a socket assigned to the client device **110A** or the client application **205**, or some other signifier of where the connection is to route messages so that they arrive at the client application **205**.

The connection manager **230** requests **340** a session from the message router **240**. For example, the connection manager **230** may request **340** the session by sending a data object including the host information (or the UID) to message router **240** through messaging queue **235**. The message router **240** establishes **350** a session based on any received information. For example, establishing a session may include the message router **240** generating a confirmation message and storing the confirmation message in the state store **250**. The confirmation message may include the received information. The message router **240** instructs **360** the connection manager **230** to send the confirmation message to the client device **110A**. For example, the message router **240** generates and sends a routing instruction to the connection manager **230** through messaging queue **235**. In response to the routing instruction, the connection manager **230** establishes network connection **217** and sends the confirmation message to the client device **110A** through the network connection **217**. Network connection **217** is established at a different socket from the socket used by the dispatcher **220** to accept network connection **215**.

The client device **110A** (e.g., client application **205**) accepts the network connection **217**. The client device **110A** also sends **380** a response message to the connection manager **230** through network connection **217**. The response message includes information identifying the client application **205**, such as a program type of the client application **205** and instance name of the client application **205**. The connection manager **230** stores the response message in the state store **250** and forwards **390** the reference to the response message to the message router **240**. Using the response message, the message router **240** infers that network connection **217** is active and stores the connection in association with the data object (e.g., UID, host information) used to generate the connection in the state store **250**. Subsequently, the message router **240** instructs **235** the connection manager **230** to route a message to client application **205** over network connection **217**.

In response to a loss of network connection **217**, the client application **205** may attempt to re-establish a connection by initiating network connection **215** with the dispatcher **220**. In response to a loss of network connection **217**, the connection manager **230** sends a data object to the message router **240** indicating the UID of the lost network connection **217**. The message router **240** removes the session state it is maintaining in state store **250**, and subsequently does not issue instructions for the connection manager **230** to route any more messages through the lost network connection **217**.

#### Sending a Message Between Client Applications

FIG. 4 is an interaction diagram illustrating a client application **205** sending a message to the messaging system, and the messaging system delivering the message, in accordance with an embodiment. In some embodiments, the method may include different and/or additional steps than those described in conjunction with FIG. 4. Additionally, in some embodiments, the method may perform the steps in different orders than the order described in conjunction with FIG. 4, such as performing steps in parallel.

The client device **110A** (e.g., client application **205**) sends **405** a message through network connection **217**. In response

to receiving the message, connection manager **230A** stores the message in state store **250** and generates **410** a message handle. For example, the message handle identifies the message's storage location in the state store **250**. The connection manager **230A** forwards **420** the message to the message router **240**. To forward the message, the connection manager **230A** forwards the message handle and message header to the message router **240**.

The message router **240** determines **430** routing instructions for connection managers **230**, including a routing instruction for connection manager **230B**. The message router **240** determines **430** routing instructions by determining one or more recipient client applications **205** from the message header. For example, the message router **240** determines a recipient client application from the messaging mode and the one or more topic identifiers. The message router **240** identifies client applications **205** subscribed to the topic corresponding to the topic identifier included in the message header. For each identified client application **205**, the message router **240** generates a routing instruction including the message handle and recipient handle. The message router **240** may generate other routing instructions, such as a routing instruction to delete a message once the message is sent to all its recipient client applications **205**.

The message router **240** sends **440** routing instructions to the connection manager **230B** through messaging queue **235**. To send **440** a routing instruction, the message router **240** accesses a mapping between topic identifiers and established sessions in state store **250** to identify the appropriate connection manager **230B** that can service client applications **205** through corresponding network connections **217** by accessing a mapping between topic identifiers and the network connections **217**. The connection manager **230B** sends **450** the message to the client device **110B**.

#### Messaging System Security

The messaging system **120** may further include computer code to authenticate network connections from remote programs. Remote programs include individual client applications **205** on a client device **110**, individual client applications **205** on the same host as the messaging server **120**, and as well as another instance of the messaging server **120**. Authentication refers to verifying that a remote program is associated with a particular profile having given authorizations in the messaging system. In the context of a client application **205**, the profile may, for example, grant authorizations to subscribe to particular topics, to publish messages to particular topics, to send messages (e.g., responses, requests) to particular client applications **205**, or to receive messages from particular client applications **205**. A component program is executed under a particular user identity, which determines whether a component program has authorization to access particular segments of the state store **250**, to invoke kernel functions of the operating system (e.g., instantiating an IPC), or to instantiate component programs. For example, only a component program running under a root user identity may access a segment of the state store **250** containing secure information (e.g., private keys) or invoke kernel functions of the operating system.

A remote program may substantiate its identity through a combination of one or more authentication mechanisms, including a user name and password challenge, process inspection, certificate-based authentication, or authentication transfer. A user name and password challenge refers to providing a user name entered through a GUI as well as a hash of a password entered through the GUI. This authentication is applicable to client applications **205** directly invoked by a user through a GUI but is inapplicable to

automatically started client applications **205** as well as component programs of the messaging server **120**.

Certificate-based authentication refers to authentication of remote programs by exchanging information encrypted using a key. Certificate-based authentication includes asymmetric encryption schemes, where a remote program substantiates its identity by proving that it has access to a private key. For example, a remote program may substantiate its identity by responding to a challenge string sent by the message router **240** with a digital signature that includes a hash encrypted with the private key of the remote program. Certificate-based authentication may alternatively or additionally include symmetric encryption schemes, where the remote program and the dispatcher both have access to a shared secret or key, such as a secret generated through a Diffie-Hellman key exchange. An example of certificate-based authentication is described in further detail with respect to FIG. 5, described in the section titled "Authenticating Network Connections" below.

#### Authenticating Network Connections

FIG. 5 is an interaction diagram illustrating an example process of authenticating a network connection, in accordance with an embodiment. In the illustrated example, the messaging server **120** authenticates a remote program executed by client device **110** that has established network connection **217** with a connection manager **230**.

The remote program is generally a client application **205** with a network connection **217** having been transferred from the dispatcher **220** after initiating network connection **215**. However, the messaging server **120** may also use the process of FIG. 5 to authenticate a component program of another messaging server **120** (thus replacing the client device **110** in FIG. 5 and the following description with another messaging server (not shown)). The message router **240** sends **515** a challenge string to the client device **110** through network connection **217**. To send **515** the challenge string, the message router **240** generates a challenge string containing data unique to the incoming connection, and stores the unique challenge string in association with the network connection **217**. To generate the challenge string, the message router **240** may use an approved public key (e.g., a root public key) associated with the remote program and accessible to the message router **240**. The approved public key is paired with a root private key accessible to the remote program.

The remote program generates **520** a response to the challenge string. To generate **520** the response, the remote program accesses a private key generated from the root private key paired with the approved public key. The remote program generates a signature on the challenge string using the private key. Generating the signature on the challenge string may include operations such as decoding the challenge string using the private key. The remote program sends **525** the dispatcher **220** a response to the challenge string. The response includes the signature on the challenge string and a copy of the public key corresponding to the private key. For example, the response may be encoded in a format such as PKCS#7 (Public Key Cryptography Standard #7).

The message router **240** receives the response to the challenge string and checks **530** the response. Checking the response includes determining whether the signature on the response corresponds to the public key included in the response. Checking **530** the response may also include retrieving the challenge issued to authenticate the network connection and determining whether the received response matches the expected response to the retrieved challenge. Checking the response against the challenge string prevents

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a replay attack where a malicious user sends a response generated by the remote program in response to a previous challenge string.

Checking **530** the response further includes determining whether the public key included in the response is valid by determining whether there is a chain of trust between the public key and the approved public key. For example, a chain of trust exists when the public key in the response is an approved public key or has been signed by an approved public key. Verifying the chain of trust between the public key and an approved public key may be a recursive process. The message router **240** identifies the parent public key used to sign the public key included in the response and determines whether there is a chain of trust between the parent public key and an approved public key. Once a parent public key is identified that is an approved public key, the chain of trust is validated. If the recursive process identifies a parent public key that is not an approved public key and that has not been signed by any other public key, then the chain of trust is invalid.

In response to determining that the response matches the challenge string, the message router **240** determines that the identity of the remote program is authenticated, and the message router **240** allows the client application **205** to begin sending and receiving messages through network connection **217**. In response to determining that the response does not match the challenge string, the message router **240** determines that the remote program does not have a valid identity, and the message router **240** terminates the corresponding network connection **217** through an instruction to the connection manager **230**.

#### Authentication Transfer

A messaging environment refers to a plurality of messaging server nodes connected to each other. The messaging server nodes in a given messaging environment can only communicate with other messaging server nodes in the same messaging environment. However, some client applications **205** may connect to and interact with multiple segregated messaging environments (e.g., messaging environments in different organizations).

To this end, authentication transfer refers at a high level to a client application **205** leveraging an authentication previously done with a messaging server **120A** in messaging environment A as a credential to authenticate to a new messaging server **120B** in a new messaging environment B. When connecting to the new messaging server **120B**, the client application **205** receives a challenge string from the new messaging server **120B** as part of receiving a unique challenge string for certificate-based authentication (e.g., step **515** in FIG. **5**). Upon receipt of this challenge string, the client application **205** generates an authentication transfer ticket request and sends it to the messaging server **120A**. The authentication transfer ticket request contains this new challenge string. The message router **240** on the messaging server **120A** signs the authentication transfer ticket with its own private key and returns an authentication transfer ticket response to the client application **205**. The client application **205** in turn submits a login request based on the authentication transfer ticket response to the new messaging server **120B**, which decrypts the signature, verifies the validity of the user name and original network, and acknowledges to the client application **205** that this constitutes a successful authentication. Thus, authentication transfer obviates a user entering a user name and password when switching between messaging servers in different messaging environments.

FIG. **6** is an interaction diagram illustrating in detail an example process of transferring authentication for a client

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device between messaging servers, in accordance with an embodiment. A client application **205** of client device **110** is maintaining **600** an initial network connection **217** with messaging server **120A**. The initial network connection **217** has been authenticated, such as through certificate-based authentication. The client device **110A** initiates **605** a network connection **215** with messaging server **120B**, which is remote relative to messaging server **120A**. For example, messaging server **120A** is affiliated with organization A, messaging server **120B** is affiliated with a different organization B, and the client application **205** that was already connected and authenticated to messaging server **120A** is attempting to communicate with organization B via messaging server **120B**. This mechanism allows client application **205** to authenticate to messaging server **120B** without prompting the user for credentials for messaging server **120B**'s organization (organization B in this example). This mechanism is not limited to cross-organization communication. A client application **205** may also use the authentication transfer mechanism to connect to another messaging server **120** in the same organization as messaging server **120A** without providing user name and password credentials.

The messaging server **120B** sends **610** a greeting message including a challenge string. For example, the greeting message includes a 24-byte challenge string and a messaging system version number, and the greeting message is delivered through a secure socket connection. The client device **110** receives the greeting message and sends **615** the messaging server **120B** a response to the greeting message. The messaging server **120B** begins waiting for a login request after receiving the response to the greeting message.

The client device **110** generates and sends **620** an authentication transfer ticket request ("authentication request") to the messaging server **120A**, which has already authenticated the identity of the client application **205**. The authentication request is message with a header indicating that the message is an authentication request. The header includes a source identifier corresponding to the authentication request. The body of the authentication request includes the challenge string sent by the messaging server **120B**. The messaging server **120A** generates an authentication transfer ticket response ("authentication response") including a signed authentication credential. The messaging server **120A** signs **625** the authentication credential using a private key. The authentication response is a message having a header indicating that the message is an authentication response. The header includes the source identifier from the authentication request, and the body of the authentication response includes the signed authentication credential (e.g., the challenge string encrypted using a signature of the messaging server **120A**). The messaging server **120A** sends **630** the authentication response to the client device **110**.

The client device **110** generates and sends **635** a login request to the messaging server **120B**. The login request is a message containing a user name of the client application **205**, messaging environment metadata, and the signed authentication credential from the authentication response. Messaging environment metadata describes the organization corresponding to a messaging environment (e.g., a messaging system code for the organization, an abbreviation of the organization) as well as other characteristics of the messaging environment. The messaging server **120B** checks **640** the login request by: (1) decrypting the challenge string and confirming the challenge string matches the challenge string sent in the greeting message; (2) confirming that the authenticated challenge string has a format consistent with an



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authentication transfer ticket response; (3) checking the signature on the authenticated challenge string is valid; (4) verifying that the signer is a messaging server, such as **120A**, that is authorized to issue authentication transfer responses; and (5) checking that the client application **205** (and/or client device **110**) is authorized to generate authentication transfer requests for the destination client application **205** associated with the messaging server **120B**. If the messaging server **120B** determines that the login request is invalid (because any of steps (1) through (5) are invalid), the messaging server **120B** closes the network connection **215** with the client device **110**.

If the login request passes the checks, the messaging server **120B** requests verification of the user name and messaging environment metadata included in the login request by generating and sending **645** a user verification request to an authentication server **601**. The authentication server **601** maintains a registry of valid user names and associated messaging environment metadata to provide a further check on information contained in the login request. For example, the authentication server queries LDAP on behalf of messaging server **120B** to verify the information in the login request. LDAP contains metadata about user accounts, such as user names, passwords, and associated messaging environment metadata (e.g., organization abbreviations). The user verification request indicates that it is a user verification request and includes the user name and abbreviation of the client application's organization from the login request. The user verification request may further include a header indicating that the user verification request is a semaphore type message. The header also includes the source identifier of the client application **205**. The authentication server **601** verifies **650** the information contained in the user verification request to ensure that (1) the user name is valid and (2) the messaging environment metadata matches the user name.

In response to successfully verifying **650** the information included in the user verification request, the authentication server **601** generates and sends **655** a user verification response to the messaging server **120B** and the client device **110**. The user verification response is a message including a header indicating the message is a user verification response. The header of the user verification response includes the source identifier and a code corresponding to the organization of the client application **205**.

In response to receiving the user verification response indicating verification of the user name, the messaging server **120B** accepts the network connection **215** with the client device **110**. If the user verification response indicates that the user name is invalid, or if the other information in the user verification request does not match the user name, the messaging server **120B** closes the network connection **215**.

#### Authenticating Component Program Identity

FIG. 7 is an interaction diagram illustrating an example process of authenticating identity of a component program, in accordance with an embodiment. In the illustrated example, the messaging server **120** establishes a chain of trust between the dispatcher **220** and another program component (e.g., a message router **240**, a connection manager **230**). By establishing the chain of trust between the program components, the messaging server **120** may send secure information between the program components through an IPC socket connection (e.g., **225** or **227**).

The dispatcher **220** connects **705** to shared memory contained in state store **250**. The dispatcher **220** may connect **705** to the shared memory in response to the messaging

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server **120** powering on or restarting, for example. The messaging server **120** initializes the dispatcher **220** as a root user. A root user refers to an identity having permissions not available to other component programs of the messaging server **120**. As a root user, the dispatcher **220** may instantiate another component program, instantiate an IPC connection between component programs, temporarily change its own identity to another user having fewer authorizations, and read and write to shared memory accessible only by a root user. The dispatcher **220** determines whether the state store **250** contains shared memory for the dispatcher **220**. If the state store **250** contains a dispatcher shared memory, the dispatcher **220** reconnects to the dispatcher shared memory. If the state store **250** does not contain the dispatcher shared memory, the dispatcher **220** allocates the dispatcher shared memory and connects to it. As part of allocating the dispatcher shared memory, the dispatcher **220** sets the dispatcher shared memory to allow read and write access only to component programs having a root user identity.

The dispatcher **220** begins listening on an IPC server socket connection **225** or **227** used by the connection manager **230** and the message router **240** to connect to the dispatcher **220**.

The dispatcher **220** determines whether the messaging server **120** is executing a message router **240** and a connection manager **230**. If the messaging server **120** is executing the message router **240** and the connection manager **230**, the process continues to step **720**. If one or both of these components is not running on the messaging server **120**, the dispatcher **220** instantiates **715** whichever of them is not running. To do this, the dispatcher **220** changes its identity to a reduced or minimal permissions user identity, such as one used to create **710** the IPC socket connections **225** and **227**. Using the user identity, the dispatcher **220** instantiates **715** the message router **240** and/or the connections manager **230**. The dispatcher **220** stores a process identifier and the start time of the message router **240** and/or the connection manager **230**, as well as the corresponding user identity, in the dispatcher shared memory (reverting to the root user identity first if necessary). These stored items of information may be referred to as "instantiation information" for convenience, and may be used to verify the identity of the process when it requests private keys and other secure information. The dispatcher **220** then reverts its identity to the root user identity.

The message router **240** and connection manager **230** open connections **225** and **227**, respectively with to the IPC server socket that the dispatcher **220** opened previously.

As a final set of steps in establishing a secure connection to the dispatcher **220**, the message router **240** or the connection manager **230** requests **725** the private key over **225** and **227**, respectively. In response to the request, the dispatcher **220** verifies **730** the identity of the message router **240** or connection manager **230**. To do this, the dispatcher **220**, as the root user identity, queries the operating system of the messaging server **120** for the user identity, the process identifier, and/or start time of the message router **240** or connection manager **230** making the request. The dispatcher **220**, as the root user identity, also queries the dispatcher shared memory for the process identifier, start time, and/or corresponding user identifier associated with the request. The dispatcher **220** compares the process identifier, start time, and/or corresponding user identity accessed from the dispatcher shared memory with those of the requesting message router **240** or connection manager **230**. If all of the process identifier, start time, and/or corresponding user identity match between the dispatcher shared memory and

requesting component, the dispatcher **220** sends **735** the private key (or other requested secure information) to the requesting message router **240** or connection manager **230** through the corresponding IPC socket **225** or **227**.

If any of the process identifier, start time, and/or corresponding user identifier do not match, the dispatcher **220** closes the IPC socket **225** or **227** and/or the network connection **217** corresponding to the request.

The dispatcher **220** obtains the private key from a client device **110** that has initiated an authenticated connection, as described in conjunction with FIG. 3. To obtain the private key, the dispatcher **220** accesses an encrypted version of the private key using a public file system mount. For example, the file system mount is an NFS (Network File System) mount. The dispatcher **220** decrypts the encrypted private key and stores the encrypted private key in process memory of the dispatcher. Alternatively, the dispatcher **220** stores the encrypted private key in dispatcher shared memory or other memory accessible to the dispatcher **220** and not accessible to the message router **240** and connection managers **230**. To decrypt the private key, the dispatcher **220** may, for example, use symmetric key decryption (e.g., Advanced Encryption Standard (AES)), assuming the key was encrypted accordingly. To do this, the dispatcher **220** may change its identity to a key access user identity having access to the symmetric key, load the symmetric key from a private file system mount accessible only to the key access user identity, and decrypt the private key using the loaded symmetric key. The dispatcher **220** then reverts its identify to the root user identity. The dispatcher **220** may access the encrypted private key during a TLS (transport layer security) session or prior to establishing a TLS session. To establish a TLS session, the message router **240** instructs the connection manager **230** to establish the TLS session after confirming the network connection **217** (e.g., step **370** in FIG. 3). After establishing the TLS session, the connection manager **230** may use the decrypted private key to upgrade the network connection **217** to an encrypted TLS connection.

The dispatcher **220** also secures the IPC messages queues **235** between connection managers **230** and the message router **240**. This allows connection managers **230** and the message router **240** to trust each other. The dispatcher **220** determines whether the message queues **235** exist on the messaging server **120**. If the corresponding message queues **235** exist, the dispatcher continues to step **715**. If the corresponding message queues **235** do not exist, the dispatcher **220** creates message message queues **235** (e.g., immediately before, immediately after, or concurrently with step **710**). To do this, the dispatcher **220** changes its identity to a reduced or minimal permissions user identity, which is a preconfigured account used permanently by the message router **240** and the connection managers **230**, and temporarily by the dispatcher **220**. Using the minimal permissions user identity, the dispatcher **220** then creates the message queues **235** and sets permission for the message queues **235** so that only a user with those same user identity permissions user may connect. The dispatcher **220** then reverts its identify to the root user identity.

#### Additional Configuration Information

In the client device **110** and messaging system **120**, the program code and modules implementing the functionality described herein are not native components of underlying machine or system, and thus extend the operations and functionality thereof beyond their generic functions and capabilities. The client device **110** includes a client application **205**, and the messaging system **120** includes a dispatcher **220**, a connection manager **230**, a message router

**240**, a state store **250**. Those of skill in the art will appreciate that these databases, information, data structures, program code, and modules are not components of a generic computer, and that the client device **110** messaging system **120** may contain other databases, program code, or modules that are not explicitly mentioned here. Additionally, the operations listed here are necessarily performed at such a frequency and over such a large set of data that they must be performed by a computer in order to be performed in a commercially useful amount of time, and thus cannot be performed in any useful embodiment by mental steps in the human mind.

Some portions of the above description describe the embodiments in terms of algorithmic processes or operations. These algorithmic descriptions and representations are commonly used by those skilled in the data processing arts to convey the substance of their work effectively to others skilled in the art. These operations, while described functionally, computationally, or logically, are understood to be implemented by computer programs comprising instructions for execution by a processor or equivalent electrical circuits, microcode, or the like. Furthermore, it has also proven convenient at times, to refer to these arrangements of functional operations as modules, without loss of generality. The described operations and their associated modules may be embodied in software, firmware, hardware, or any combinations thereof.

As used herein any reference to “one embodiment” or “an embodiment” means that a particular element, feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment. The appearances of the phrase “in one embodiment” in various places in the specification are not necessarily all referring to the same embodiment.

As used herein, the terms “comprises,” “comprising,” “includes,” “including,” “has,” “having” or any other variation thereof, are intended to cover a non-exclusive inclusion. For example, a process, method, article, or apparatus that comprises a list of elements is not necessarily limited to only those elements but may include other elements not expressly listed or inherent to such process, method, article, or apparatus. Further, unless expressly stated to the contrary, “or” refers to an inclusive or and not to an exclusive or. For example, a condition A or B is satisfied by any one of the following: A is true (or present) and B is false (or not present), A is false (or not present) and B is true (or present), and both A and B is true (or present).

In addition, use of the “a” or “an” are employed to describe elements and components of the embodiments herein. This is done merely for convenience and to give a general sense of the disclosure. This description should be read to include one or at least one and the singular also includes the plural unless it is obvious that it is meant otherwise.

Upon reading this disclosure, those of skill in the art will appreciate still additional alternative structural and functional designs for a system and a process for authenticating network connections and component program identities in a messaging system. Thus, while particular embodiments and applications have been illustrated and described, it is to be understood that the described subject matter is not limited to the precise construction and components disclosed herein and that various modifications, changes and variations which will be apparent to those skilled in the art may be made in the arrangement, operation and details of the method and apparatus disclosed herein.

What is claimed is:

1. A method of authenticating component programs in a messaging system where the component programs includes at least one from a group consisting of a dispatcher, a message router, and a connection manager, the method comprising:

storing a private key in a memory accessible by a root user identity;

creating an inter-process communication (IPC) socket connection with a component program that is either a message router or a connection manager;

determining that the component program is running, wherein the component program does not have permission to access the memory storing the private key;

connecting the component program to the IPC socket connection; and

responsive to the component program connecting the IPC socket connection:

obtaining a first set of instantiation information describing the component program from shared memory;

obtaining a second set of instantiation information from an operating system regarding the component program; comparing the first instantiation information to the second instantiation information; and

responsive to the first instantiation information matching the second instantiation information, sending the component program the private key through the IPC socket connection.

2. The method of claim 1, wherein storing the private key in the memory comprises:

obtaining the private key from the client device by a second component program having the root user identity; and

storing, by the second component program, the private key in the memory accessible by the root user identity.

3. The method of claim 2, wherein the second component program is the dispatcher, and wherein the memory storing the private key is a process memory of the dispatcher.

4. The method of claim 1, wherein creating the IPC socket connection comprises:

changing to a reduced permissions user identity with permission to access the shared memory, wherein the user identity does not have permission to access the memory storing the private key; and

setting permission of the IPC socket connection to only allow connection by a component program having a permission level of the reduced permissions user identity.

5. The method of claim 4, wherein determining that the component program is running comprises:

instantiating the component program using the reduced permissions user identity; and

storing the first set of instantiation information in the shared memory.

6. The method of claim 1, wherein obtaining the first set of instantiation information describing the component program from the shared memory is performed using the root user identity.

7. The method of claim 1, further comprising responsive to the first set of instantiation information not matching the second set of instantiation information, closing the IPC socket connection.

8. A non-transitory computer readable storage medium having instructions encoded thereon for authenticating component programs in a messaging system where the compo-

nent programs include at least one from a group consisting of a dispatcher, a message router and a connection manager, wherein the instructions, when executed by a processor, cause the processor to:

store a private key in a memory accessible by a root user identity;

create an inter-process communication (IPC) socket connection with a component program that is either a message router or a connection manager;

determine that the component program is running, wherein the component program does not have permission to access the memory storing the private key;

connect the component program to the IPC socket connection; and

responsive to the component program connecting the IPC socket connection:

obtain a first set of instantiation information describing the component program from shared memory;

obtain a second set of instantiation information from an operating system regarding the component program;

compare the first instantiation information to the second instantiation information; and

responsive to the first instantiation information matching the second instantiation information, send the component program the private key through the IPC socket connection.

9. The medium of claim 8, wherein storing the private key in the memory comprises:

obtaining the private key from the client device by a second component program having the root user identity; and

storing, by the second component program, the private key in the memory accessible by the root user identity.

10. The medium of claim 9, wherein the second component program is the dispatcher, and wherein the memory storing the private key is a process memory of the dispatcher.

11. The medium of claim 8, wherein creating the IPC socket connection comprises:

changing to a reduced permissions user identity with permission to access the shared memory, wherein the user identity does not have permission to access the memory storing the private key; and

setting permission of the IPC socket connection to only allow connection by a component program having a permission level of the reduced permissions user identity.

12. The medium of claim 11, wherein determining that the component program is running comprises:

instantiating the component program using the reduced permissions user identity; and

storing the first set of instantiation information in the shared memory.

13. The medium of claim 8, wherein obtaining the first set of instantiation information describing the component program from the shared memory is performed using the root user identity.

14. The medium of claim 8, further comprising instructions to cause the processor to close the IPC socket connection responsive to the first set of instantiation information not matching the second set of instantiation information.