

BlackRock Investment Management (Australia) Limited ABN 13 006 165 975

AFSL Licence Number 230523 (referred to as “BlackRock”)

**Please return completed form to:** BlackRock, C/- LINK Market Services Limited. Locked Bag 5038, Parramatta NSW 2124  
or by fax to 1300 366 107

### Important information

BlackRock must comply with the Anti-Money Laundering and Counter-Terrorism Financing laws (the “AML Legislation”), which requires us to, among other things, establish your identity. This form is provided to collect the necessary customer information as required by the AML Legislation to establish your identity. For your application to be processed by BlackRock, you must ensure that this form as well as your Fund Application Form is completed and forwarded to us. By completing this Investor Identification Form and providing us with information to establish your identity, you acknowledge and agree:

- ▶ this information will be used by BlackRock to establish your identity for the purposes of the AML Legislation; and
- ▶ BlackRock will neither be responsible nor liable to you or any other person for any loss suffered where transactions are delayed, blocked, frozen or where BlackRock declines to process a transaction or ceases to provide you with a product or service, in circumstances where BlackRock is unable to establish our identity or where BlackRock reasonably believes you are a Proscribed Person.<sup>1</sup>

### When completing this form

Depending on your investor type, BlackRock may require supporting identification/verification documentation to establish your identity. Where such identification/verification documentation is required, an originally certified copy is required. An abbreviated list of the various people that can certify documents is provided on the next page with an extended list of certifiers available on our website [www.blackrock.com/au](http://www.blackrock.com/au).

### Will documents in a language other than English be accepted?

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator. An accredited translator is any person who is currently accredited by the National Accreditation Authority for Translators and Interpreters Ltd (NAATI) at the level of Professional Translator or above.

### Which sections of this form apply to me?

The matrix below highlights the section of this form that are applicable to each investor type. Please ensure that you read the section applicable to you and where relevant attach an originally certified copy of the document before sending it to BlackRock.

Investor Type <sup>2</sup>	Section A	Section B	Section C	Section D	Section E	Section F	Section G
<b>Individual(s) &amp; Sole Traders</b>	✓						
<b>Trust:</b> Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund	✓		✓				
<b>Domestic (Australian) Company</b>		✓					
<b>Trust:</b> Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund		✓	✓				
<b>Government Body</b>				✓			
<b>Partnership</b>					✓		
<b>Association</b>						✓	
<b>Registered Co-operative</b>							✓
<b>Foreign Company<sup>3</sup></b>							
<b>Foreign Company:</b> Acting as a Corporate Trustee(s) of a Trust <sup>3</sup>							

1. A “Proscribed Person” means any person or entity who BlackRock reasonably believes to be (i) in breach of the laws of any jurisdiction regarding economic or trade sanctions, or laws prohibiting money laundering or terrorism financing, or (ii) on a list of persons with whom dealings are proscribed by Australian laws or the laws of another recognised jurisdiction. A “Proscribed Person” includes any person or entity who BlackRock reasonably believes to act on behalf, or for the benefit of, a person or entity referred to in (i) and/or (ii).
2. Please see page 3 of this Investor Identification Form for a description of each investor type.
3. Please complete the Foreign Corporate Entity form available from [www.blackrock.com/au](http://www.blackrock.com/au).

## Certifying documents

An originally certified copy is a copy of a document that has been certified as a true copy of an original document.

To obtain an originally certified copy, present the original document and a photocopy of that document to one of the people listed below. The person certifying the document will need to include the following information on the photocopy:

- ▶ “I certify that this is a true copy of the original document”; and
- ▶ the certifier’s:
  - full name
  - occupation, qualification, position or registration number (if any), which makes them eligible to certify documents;
- ▶ the date the document was signed; and
- ▶ the certifier’s signature.

If this certification does not appear, you may be asked for new certified documents.

## Who can certify documents?

### OCCUPATIONS

- ▶ A person who, under a law in force in an Australian State or Territory, is currently licensed or registered to practise in the following occupations:
  - Dentist
  - Legal practitioner
  - Medical practitioner
  - Pharmacist

### OTHER PERSONS

- ▶ Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- ▶ An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees
- ▶ Bank officer with 2 or more continuous years of service
- ▶ Building society officer with 2 or more years of continuous service
- ▶ Credit union officer with 2 or more years of continuous service
- ▶ Employee of the Commonwealth who is:
  - in a country or place outside Australia; and
  - authorised under paragraph 3(c) of the Consular Fees Act 1955; and
  - exercising his or her function in that place
- ▶ Justice of the Peace
- ▶ Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants
- ▶ Minister of religion registered under Subdivision A of Division 1 of Part IV of the Marriage Act 1961
- ▶ Notary public (authorised in Australia or in a foreign country)
- ▶ Permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- ▶ Permanent employee of:
  - the Commonwealth or a Commonwealth authority; or
  - a State or Territory or a State or Territory authority; or
  - a local government authority;with 2 or more years of continuous service
- ▶ Police officer
- ▶ Sheriff
- ▶ Sheriff’s officer
- ▶ Teacher employed on a full-time basis at a school or tertiary education institution

A full list of the persons who can certify documents is available from our website at [www.blackrock.com/au](http://www.blackrock.com/au)

## What type of investor am I?

Type of investor	Description
<b>Individual(s) &amp; Sole Traders</b>	Investing in your personal capacity – that is, not as a company, trust, partnership, etc. This can include individuals investing on behalf of a person under the age of 18. Sole Trader describes a business that is owned and controlled by one person, although the business may employ people.
<b>Trust</b> Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund	Investing in your personal capacity as a trustee on behalf of another. In this case, the trustee is not a company. A trust will in most circumstances be established pursuant to a trust deed with the intention of holding income or property on behalf and for the benefit of another (who may or may not include the trustee). Trusts can include: <ul style="list-style-type: none"> <li>▶ Superannuation funds (including self-managed superannuation funds);</li> <li>▶ Family trusts;</li> <li>▶ Deceased estate;</li> <li>▶ Managed investment scheme (registered or unregistered);</li> <li>▶ Charitable trust; and</li> <li>▶ Testamentary trust.</li> </ul>
<b>Domestic (Australian) Company</b>	Company incorporated in Australia, including: <ul style="list-style-type: none"> <li>▶ Proprietary company (ending with “Pty Ltd”);</li> <li>▶ Public company (ending with “Ltd”);</li> <li>▶ Companies limited by guarantee (used primarily by non-profit organisations); and</li> <li>▶ Listed company (listed on a securities exchange in Australia, such as the ASX).</li> </ul>
<b>Trust</b> Domestic (Australian) Company acting as a Trustee of a Trust or Superannuation Fund	Company incorporated in Australia, acting in the capacity of trustee on behalf of another (for example, ABC Pty Ltd as trustee for the XYZ self-managed superannuation fund). A trust will in most circumstances be established pursuant to a trust deed with the intention of holding income or property on behalf and for the benefit of another (who may or may not include the trustee). Trusts can include: <ul style="list-style-type: none"> <li>▶ Superannuation funds (including self-managed superannuation funds);</li> <li>▶ Family trusts;</li> <li>▶ Deceased estate;</li> <li>▶ Managed investment scheme (registered or unregistered);</li> <li>▶ Charitable trust; and</li> <li>▶ Testamentary trust.</li> </ul>
<b>Partnership</b>	Formally established pursuant to a partnership agreement/deed. If you are investing “jointly” (and not pursuant to a formal partnership agreement) then see “Individual(s)” section above.
<b>Association</b>	Incorporated Association is registered by the State or Territory in which the association is based. Features include: <ul style="list-style-type: none"> <li>▶ appointment of a public officer and committee; and</li> <li>▶ profits, if any, can only be used to promote non-profit objectives</li> </ul> Unincorporated Association does not have a legal identity and cannot hold assets in its own name. It must appoint individuals as trustees, who own the assets but hold them for the benefit of the association.
<b>Registered Co-operative</b>	Registered Co-operative is a democratic structure owned and controlled by the people it serves, who join together for a common benefit. It is a separate legal entity (registered under the relevant State or Territory legislation) with the general aim of providing services for its members rather than making profits.
<b>Government Body</b>	Government Body is a legal entity that is owned or controlled by Federal, State or Local Government. Examples include Universities, Local Councils, and Statutory Agencies.
<b>Foreign Company <sup>1</sup></b>	Company incorporated in a foreign jurisdiction.
<b>Foreign Company acting as a Corporate Trustee(s) of a Trust <sup>1</sup></b>	Company incorporated in a foreign jurisdiction, acting in the capacity of a trustee on behalf of another. A trust will in most circumstances be established pursuant to a trust deed with the intention of holding income or property on behalf and for the benefit of another (who may or may not include the trustee). Trusts can include: <ul style="list-style-type: none"> <li>▶ Family trusts;</li> <li>▶ Deceased estate;</li> <li>▶ Managed investment scheme (registered or unregistered);</li> <li>▶ Charitable trust;</li> <li>▶ Testamentary trust; and</li> <li>▶ Pension scheme/Retirement fund.</li> </ul>

1. Please complete the Foreign Corporate Entity Investor Identification Form available from [www.blackrock.com/au](http://www.blackrock.com/au).

## Section A. Individual(s), Sole Trader(s) and Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund

### Section A1: Individual / sole trader / trustee personal details

#### 1<sup>st</sup> Individual / Sole Trader / Trustee

Full given name(s)
Surname
Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

#### 2<sup>nd</sup> Individual / Trustee if applicable

Full given name(s)
Surname
Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than two individuals or trustees, provide details on a separate sheet and attach to this form.

**Individuals – No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section A.

**Sole trader(s) – Proceed to Section A2.**

**Trustee(s) – Proceed to Section C “Trusts or Superannuation Funds”.** Please note the verification procedure as shown at the end of Section A.

### Section A2: Sole trader business information

Complete this Section A2 if you are a sole trader.

If more than one investor is a sole trader, provide details on a separate sheet and attach to this form.

Full business name (if any)
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Australian business number (“ABN”) (if any)

Principal place of business (if any): PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**Sole trader(s) – No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section A.

## Individual(s), Sole Trader(s) and Individual(s) acting as a Trustee(s) of a Trust or Superannuation Fund verification procedure

BlackRock will perform the verification procedure to establish your identity. In order to allow us to complete your verification procedure you will need to provide us with the following documentation. Unless otherwise stated ID documents must **NOT** have expired. If we cannot access the information to complete this procedure, we may ask you to provide us with further information, which may include additional documentation from the following.

### Part I – Acceptable primary ID documents

Select  **ONE** of the following documents from this section. If you do **NOT** own one of the following documents **proceed to Part II**:

- Australian State/Territory driver's licence containing a photograph of the person.
- Australian passport (a passport that has expired within the preceding 2 years is acceptable).
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person.
- Foreign passport or similar travel document containing a photograph and the signature of the person.\*

### Part II – Acceptable secondary ID documents

Should only be presented if you do **NOT** own a document from Part I.

Select  **ONE** of the following documents from this section:

- Australian birth certificate.
- Australian citizenship certificate.
- Pension card issued by Centrelink.

**AND** select  **ONE** of the following documents from this section:

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address.
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. *Block out the TFN before scanning, copying or storing this document.*
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address).
- If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended the school.

### Part III – Acceptable foreign ID documents

Should only be presented if you do **NOT** own a document from Part I.

**BOTH** documents from this section must be presented:

- Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth.\*
- National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued.\*

\* Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator. An accredited translator is any person who is currently accredited by the National Accreditation Authority for Translators and Interpreters Ltd (NAATI) at the level of Professional Translator or above.

**This is the end of Section A. Submit this form together with the completed Fund Application Form.**

**If you are an investors acting as a Trustee(s), please also complete and submit Section C of this form.**

## Section B. Australian Company

If you are:

- ▶ a Domestic (Australian) Company complete Section B; or
- ▶ a Domestic (Australian) Company acting as a Corporate Trustee of a Trust/Superannuation Fund complete Section B **AND** Section C.

### Section B1: General information


Full company name as registered by ASIC

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Australian company number ("ACN")

Principal place of business (if any): PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Registered office address: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section B2.

### Section B2: Regulatory / listing details

Select  **ONE** of the following options and provide the requested information (if applicable).

<input type="checkbox"/> <b>Regulated company</b> A company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator. In this context "Regulated" means subject to supervision beyond that provided by ASIC as a company registration body. Examples include: <ul style="list-style-type: none"> <li>▶ Australian Financial Services Licensees (AFSL);</li> <li>▶ Australian Credit Licensees (ACL); or</li> <li>▶ Registrable Superannuation Entity (RSE) Licensees.</li> </ul>	Regulator name	
	Licence details (eg AFSL, ACL, RSE)	
<input type="checkbox"/> <b>Australian listed company</b>	Name of market/exchange	
<input type="checkbox"/> <b>Majority-owned subsidiary of an Australian listed company</b>	Australian listed company name	
	Name of market/exchange	
<input type="checkbox"/> <b>None of the above</b>		

Proceed to Section B3.

### Section B3: Company type

Select  **ONE** of the following options and proceed as directed.

<input type="checkbox"/> <b>Proprietary company (company name ends with Proprietary Ltd or Pty Ltd; also known as private companies) - Proceed to Section B4 and B5</b> to provide Director(s) details (in Section B4) and the beneficial owner details (in Section B5) if applicable.	<input type="checkbox"/>	<b>Public company (company name does NOT end with Proprietary Ltd or Pty Ltd; generally listed companies) - No further information is required.</b> Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section B.  <b>Public company acting as Corporate Trustee - proceed to Section C - Trusts or Superannuation Funds.</b> Please note the verification procedure as shown at the end of Section B.
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## Section B4: Director information (only needs to be completed for proprietary companies as ticked in Section B3)

This section does **NOT** need to be completed for public companies (as ticked in Section B3).

How many directors are there:  Provide the below details for **ALL** directors.

### 1<sup>st</sup> Director

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable






### 2<sup>nd</sup> Director

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable






### 3<sup>rd</sup> Director

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable






### 4<sup>th</sup> Director

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable






If there are more than four directors, provide details on a separate sheet and attach to this form.

**Regulated company (as selected in Section B2) – No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section B.

**Unregulated proprietary company – Proceed to Section B5** to provide beneficial owner details **OR** to **Section B6** if there are no beneficial owners.

**Unregulated proprietary company acting as a Corporate Trustee – Proceed to Section B5** to provide beneficial owner details **OR** to **Section B6** if there are no beneficial owners.

**Section B5: Beneficial owners (only needs to be completed for proprietary and public unlisted companies that are not regulated companies as selected in Section B2).**

Provide details of **ALL** individuals who are beneficial owners of, through one or more shareholdings (including direct and indirect shareholdings), 25% or more of the company's issued capital.

**1<sup>st</sup> Beneficial owner**

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**2<sup>nd</sup> Beneficial owner**

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**3<sup>rd</sup> Beneficial owner**

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**4<sup>th</sup> Beneficial owner**

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than four beneficial owners, provide details on a separate sheet and attach to this form.

**Unregulated proprietary company – No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section B.

**Unregulated proprietary company acting as a Corporate Trustee – Proceed to Section C “Trusts or Superannuation Funds”.** Please note the verification procedure as shown at the end of Section B.



## Section B6: No shareholder beneficial owners

If there are no shareholder beneficial owners, provide the name(s) of the senior managing official(s) of the company (such as the managing director or directors who are authorised to sign on the company's behalf) by completing Section A1 for each of these officials.

**Unregulated proprietary company – No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

**Unregulated proprietary company acting as a Corporate Trustee – Proceed to Section C “Trusts or Superannuation Funds”.** Please note the verification procedure as shown below.

### Australian company verification procedure

BlackRock will perform the verification procedure to establish your identity. However, if we cannot access the information to complete this procedure, we may ask you to provide us with further information.

**This is the end of Section B. Submit this form together with the completed Fund Application Form.**

**If you are a Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund, please also complete and submit Section C of this form.**



## Section C3: Beneficiary details

Provide beneficiary details **ONLY** if “Other trust type” is selected in Section C2. Do **NOT** complete if the trust is a regulated trust, as ticked in Section C2.

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

**Yes** Provide details of the membership class(es) (e.g. unit holders, family members of a named person, charitable purpose).

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**No** How many beneficiaries are there?  Provide the below details for **ALL** beneficiaries.

### 1<sup>st</sup> Beneficiary

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Full given name(s)

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Surname

/   /
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Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb
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State	Postcode
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Country (if not Australia)
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### 2<sup>nd</sup> Beneficiary

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Full given name(s)

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Surname

/   /
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Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb
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State	Postcode
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Country (if not Australia)
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### 3<sup>rd</sup> Beneficiary

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Full given name(s)

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Surname

/   /
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Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb
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State	Postcode
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Country (if not Australia)
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### 4<sup>th</sup> Beneficiary

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Full given name(s)

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Surname

/   /
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Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb
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State	Postcode
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Country (if not Australia)
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If there are more than four beneficiaries, provide details on a separate sheet and attach to this form.

**Proceed to Section C4.**

## Section C4: Trustee details

Provide trustee details **ONLY** if “Other trust type” is selected in Section C2. Do **NOT** complete if the trust is a regulated trust, as ticked in Section C2.

How many trustees are there?  Provide the below details for **ALL** trustees, unless this information has already been provided for all trustees in Section A or Section B.

### 1<sup>st</sup> Trustee

<input type="text"/>
Full given name(s) or company name
<input type="text"/>
Surname
<input type="text"/>
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee **OR** company registered office address: PO Box is **NOT** acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

### 2<sup>nd</sup> Trustee

<input type="text"/>
Full given name(s) or company name
<input type="text"/>
Surname
<input type="text"/>
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee **OR** company registered office address: PO Box is **NOT** acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

### 3<sup>rd</sup> Trustee

<input type="text"/>
Full given name(s) or company name
<input type="text"/>
Surname
<input type="text"/>
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee **OR** company registered office address: PO Box is **NOT** acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

### 4<sup>th</sup> Trustee

<input type="text"/>
Full given name(s) or company name
<input type="text"/>
Surname
<input type="text"/>
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee **OR** company registered office address: PO Box is **NOT** acceptable

Street address	
<input type="text"/>	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than four trustees, provide details on a separate sheet and attach to this form.

**Proceed to Section C5.**

## Section C5: Control over Trust

Advise if anyone else has a substantial control over the trust (e.g. an appointer or individual or company with the power to appoint, remove or instruct trustees).

### 1<sup>st</sup> Controlling person

Full Given name(s) or company name
Surname
/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee **OR** company registered office address: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

### 2<sup>nd</sup> Controlling person

Full Given name(s) or company name
Surname
/ /
Date of birth (dd/mm/yyyy)

Residential address details if an individual trustee **OR** company registered office address: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

If there are more than two controlling persons, provide details on a separate sheet and attach to this form.

**Regulated Trust – No further information is required.** Submit this form together with the completed Fund Application Form **AND** if you are:

- ▶ an Individual acting as Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section A; **OR**
- ▶ a Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section B.

Please note the verification procedure as shown below.

**Other trust type – No further information is required.** Submit this form together with the completed Fund Application Form **AND** provide the documents as requested within the verification procedure as shown below.

### Trust verification procedure

**Regulated trust, government superannuation fund or a registered managed investment scheme (as selected in Section C2)** - BlackRock will perform the Trust verification procedure. However, if we cannot access the information to complete this procedure we may ask you to provide us with further information.

**“Other trust type” (as selected in Section C2)** - You will need to provide us with **ONE** of the following documents (please select ✓):

- A notice issued by the Australian Taxation Office within the last 12 months (eg a Notice of Assessment). Block out the TFN before scanning, copying or storing this document.
- A letter from a solicitor or qualified accountant that confirms the name of the trust.
- An original or certified copy or certified extract of the trust deed.

**This is the end of Section C. Submit this form together with the completed Fund Application Form.**

**If you are:**

- ▶ an Individual acting as Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section A; **OR**
- ▶ a Domestic (Australian) Company acting as a Corporate Trustee of a Trust or Superannuation Fund, also ensure that you have completed and submitted Section B.

## Section D. Government Body

### Section D1: General information

Full name of Government Body

Principal place of operations: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section D2.

### Section D2: Government information

Select  **ONE** of the following categories and provide the requested information.

Commonwealth of Australia Government Body

Australian State or Territory Government Body

Specify the State or Territory

Foreign Country Government Body

Specify Foreign Country

**Government Body – No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

### Government Body verification procedure

BlackRock will perform the verification procedure to establish your identity. However, if we cannot access the information to complete this procedure, we may ask you to provide us with further information.

**This is the end of Section D. Submit this form together with the completed Fund Application Form.**

## Section E. Partnerships

### Section E1: General information

Full name of Partnership

Registered business name of Partnership (if any)

Country where Partnership established (only required if not Australia)

**Proceed to Section E2.**

### Section E2: Type of partnership

Is the partnership regulated by a professional association? Select  **ONE** of the following types of partnerships and provide the requested information.

**Yes**   **No** How many partners are there?

Provide name of association

Provide membership details (eg membership number)

**Proceed to Section E3** if you selected **No** and provide the details for **ALL** partners.

**Proceed to Section E3** if you selected **Yes** and provide the details for **ONE** partner only.

### Section E3: Partnership details

If the partnership **IS** regulated by a professional association (as selected in Section E2), provide the details for **ONE** partner only.

If the partnership is **NOT** regulated by a professional association (as selected in Section E2), provide the details for **ALL** partners of the partnership.

#### 1<sup>st</sup> Partner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb

State

Postcode

Country (if not Australia)

#### 2<sup>nd</sup> Partner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb

State

Postcode

Country (if not Australia)

#### 3<sup>rd</sup> Partner

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address

Suburb

State

Postcode

Country (if not Australia)

If the partnership is **NOT** regulated by a professional association and there are more than three partners, provide details on a separate sheet and attach to this form.

**Partnerships - No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown at the end of Section E.

## Partnership verification procedure

BlackRock will perform the verification procedure to establish your identity. However, if we cannot access the information to complete this procedure, we may ask you to provide us with further information.

**Partnership regulated by a professional association (as selected in Section E2)** - You will need to provide us with **ONE** document from Part I **AND ONE** document from Part II.

### Part I – Acceptable ID documents (to verify partnership name)

Select  **ONE** option from this section:

- An original, a certified copy or certified extract of the partnership agreement.
- A certified copy or a certified extract of minutes of a partnership meeting.
- An original current membership certificate (or equivalent) of a professional association.
- Membership details independently sourced from the relevant professional association.
- A search of the relevant ASIC or other regulator’s database.
- A notice issued by the Australian Taxation Office within the last 12 months eg Notice of Assessment. *Block out the TFN before scanning, copying or storing this document.*
- An original or certified copy or a certificate of registration of business name issued by a government or government agency in Australia.

### Part II – Acceptable ID documents (to verify membership of a professional association)

Select  **ONE** option from this section:

- An original current membership certificate (or equivalent)
- Membership details independently sourced from the relevant professional association

**This is the end of Section E. Submit this form together with the completed Fund Application Form.**



## Section F. Associations

### Section F1: General information

Full name of Association

Provide an ID number issued on incorporation (e.g. registration or incorporation number) (if any)

Proceed to Section F2.

### Section F2: Association details

Provide the below association details.

#### Chairman

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

#### Secretary

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

#### Treasurer

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Proceed to Section F3.

### Section F3: Association type

Select  **ONE** of the following types of associations:

- Incorporated Association – Proceed to Section F4.
- Unincorporated Association – Proceed to Section F5.



## Section G. Registered Co-operative

### Section G1: General information

Full name of registered co-operative

Provide an ID number issued by relevant registration body (if any)

Proceed to Section G2.

### Section G2: Registered co-operative details

Provide the below requested registered co-operative details.

#### Chairman

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

#### Secretary

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

#### Treasury

Full given name(s)

Surname

Date of birth (dd/mm/yyyy)

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

Proceed to Section G3.

### Section G3: Address information

Select  **ONE** of the following options and provide the below requested registered co-operative details.

**Principal place of operations:** PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**Registered office:** PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**Name & residential address of the public officer** (or president/secretary/treasurer if there is no public officer)

Full given name(s) or officer (if applicable)
Surname
/   /
Date of birth (dd/mm/yyyy)
Position

Residential address details: PO Box is **NOT** acceptable

Street address	
Suburb	
State	Postcode
Country (if not Australia)	

**Registered co-operative - No further information is required.** Submit this form together with the completed Fund Application Form. Please note the verification procedure as shown below.

### Registered Co-operative identification procedure

BlackRock will perform the Registered Co-operative identification procedure. However, if we cannot access the information to complete this procedure you will need to provide us with an originally certified copy or certified extract of the register maintained by the co-operative.

**This is the end of Section G. Submit this form together with the completed Fund Application Form.**