

Complete this form to certify that a contribution is an eligible rollover from another IRA or employer sponsored retirement plan. Typically, this form should be accompanied by an investment check and/or IRA Application for a new account.

- ▶ This form is not used to *initiate* rollovers from IRAs or employer sponsored plans; to request a transfer or rollover from your current custodian please use our **IRA Transfer of Assets/ Direct Rollover Form**.

Send this form, along with any check or other required documents, to:

▶ **Regular mail:**

BlackRock Funds
PO Box 534429
Pittsburgh PA
15253-4429

▶ **Overnight mail:**

BlackRock Funds
Attention: 534429
500 Ross Street 154-0520
Pittsburgh, PA 15262

Fax: 844-569-5573



Questions? Call us at **1-800-441-7762**, Monday through Friday between 8:00 AM and 6:00 PM ET or visit us online at www.blackrock.com.

1. Current Account Information

First, please tell us about the account(s) that you wish to deposit this rollover into:

_____	_____
Full name of IRA owner	Contact telephone number
_____	_____
Social Security number	BlackRock account number(s)
_____	_____
	Reference number (if applicable)

- Please see attached new IRA account application.

2. Type of Rollover Contribution

An IRA participant is allowed only one rollover from one IRA to another (or the same IRA) across all IRAs (Traditional, Rollover, Roth, SEP, SARSEP and SIMPLE) in aggregate that a taxpayer owns in any 12-month or 365-day period.

As an alternative, a participant can make an unlimited number of trustee-to-trustee transfers where the proceeds are delivered directly to the receiving financial institution, successor custodian or trustee. You must contact the receiving institution to initiate a trustee-to-trustee transfer. For more information please visit the Internal Revenue Service's web site www.irs.gov using the search term "IRA One-Rollover-Per-Year Rule".

- 60-Day IRA Rollover from Existing BlackRock IRA** – This is a distribution of all or part of my account balance from my BlackRock IRA (listed above) which is being rolled back to the same IRA within 60 calendar days. I understand that 365 days must have passed since I last received a rollover distribution from this or any other IRA. **For reinvestments back into Investor A Shares:** Please also apply the Reinstatement Privilege so that I am not assessed an additional upfront sales charge (applicable for distributions from Investor A Shares only; please review the Fund's prospectus for details.)

Traditional Rollover

- Traditional IRA Rollover** – I certify that this is a distribution of all or part of my account balance from another IRA which I received within 60 calendar days. I certify that 365 days have passed since I last received a rollover distribution from this or any other IRA that I rolled over into this or another IRA.
- Traditional IRA 3-Year Rollover** – I certify that this rollover is a distribution from another IRA, and that this distribution is being rolled over within 3 calendar years following the date that I received (indicate one below):
- Qualified birth or adoption distribution(s) of up to \$5,000 in compliance with Section 72(t)(2)(H) of the Internal Revenue Code. Coronavirus-related distribution(s) of up to \$100,000 made on or after January 1, 2020, as defined by Section 2202(a)(4)(A) of the CARES Act.
- IRA Eligible Rollover Distribution** – I certify that this rollover is a non-periodic distribution from my employer's qualified retirement plan of all or part of my account balance, other than the portion of any distribution which is nontaxable, which is being rolled over within 60 calendar days of the date I received the distribution. (Your employer's plan administrator should be able to tell you what portion of your distribution is an "eligible distribution".) I certify that no portion of this rollover is from any portion of a Designated Roth Contribution Account under my employer's qualified retirement plan or from any amount required to be distributed under Internal Revenue Code Sections 408(a)(6) and 401(a)(9), commonly known as a required minimum distribution or "RMD".

Roth Rollover

Roth 60-day Rollover – I certify that this is a distribution of all or part of my account balance from another Roth IRA which is being rolled over within 60 calendar days. I understand that 365 days must have passed since I last received a rollover distribution from the distributing Roth IRA.

Roth IRA 3-Year Rollover – I certify that this rollover is a distribution from another Roth IRA, and that this distribution is being rolled over within 3 calendar years following the date that I received (indicate one below):

Qualified birth or adoption distribution(s) of up to \$5,000 in compliance with Section 72(t)(2)(H) of the Internal Revenue Code.

Coronavirus-related distribution(s) of up to \$100,000 made on or after January 1, 2020, as defined by Section 2202(a)(4)(A) of the CARES Act.

Qualified Rollover Contribution (conversion) into a Roth IRA from a 401(k), 403(b), 457 Plan or other Qualified Plan – I certify that this is a distribution from my employer's retirement plan paid as a direct rollover contribution (conversion) into a Roth IRA.

Designated Roth Contribution Account – I certify that this is a direct rollover or a 60-day rollover from my Designated Roth Contribution Account under my employer's qualified retirement plan.

Military Death Gratuity Payment – I certify that this rollover contribution is less than \$100,000 and is being made within 365 days of the date I received the distribution.

Servicemember's Group Life Insurance (SGLI) – I certify that this rollover contribution is less than \$400,000 and is being made within 365 days of the date I received the distribution.

SIMPLE Rollover

SIMPLE IRA to SIMPLE IRA 60-day Rollover – I certify that this is a distribution of all or part of my account balance from another SIMPLE IRA and, that this distribution is being rolled over within 60 calendar days. I certify that 365 days have passed since I last received an IRA distribution that I rolled over to this or another IRA.

IRA to SIMPLE IRA 60-day Rollover – I certify that I have been a participant in this SIMPLE IRA plan for 2 or more years. I certify that this is a distribution of all or part of my account balance from another IRA that is not a SIMPLE IRA, and that this distribution is being rolled over within 60 calendar days from the date that I received the distribution. I certify that 365 days have passed since I last received an IRA distribution that I rolled over to this or another IRA.

SIMPLE IRA 3-Year Rollover – I certify that this is a distribution from this or another IRA* which is being rolled over within 3 calendar years following the date of a (indicate one below). *If this distribution is not from a SIMPLE IRA I understand that I must have been a participant in this SIMPLE IRA plan for 2 or more years.

A qualified birth or adoption distribution(s) of up to \$5,000 in compliance with Section 72(t)(2)(H) of the Internal Revenue Code.

A coronavirus-related distribution(s) of up to \$100,000 made on or after January 1, 2020, as defined by Section 2202(a)(4)(A) of the CARES Act.


SIMPLE IRA Eligible Rollover Distribution – I certify that this is a non-periodic distribution from my previous employer's qualified retirement plan of all or part of my account balance, other than the portion of any distribution which is nontaxable, which is being rolled over within 60 calendar days. (Your employer's plan administrator should be able to tell you what portion of your distribution is an "eligible distribution".) I certify that no portion of this rollover is from any portion of a Designated Roth Contribution Account under my employer's qualified retirement plan or from any amount required to be distributed under Internal Revenue Code Sections 408(a)(6) and 401(a)(9), commonly known as a required minimum distribution.

3. Signature and Certification

- ▶ I certify that the contribution described above is an eligible IRA rollover contribution. I certify that this contribution is being rolled over within 60 calendar days (or within 3 years if applicable) of the date that I received the distribution or is being rolled directly from my employer's plan or current custodian and meets the requirements for tax rollover requirements described above.
- ▶ I certify that the rollover is not part of a series of payments over my life expectancy or over a period of 10 years or more. The rollover does not include any required minimum distribution, hardship distribution, corrective distribution, or deemed distribution from the employer's qualified retirement plan.
- ▶ **SIMPLE IRA:** I certify that the contribution described above is an eligible SIMPLE IRA rollover contribution.. I understand that if I have not been a participant in this SIMPLE Plan for a minimum of 2 years that only distributions from another SIMPLE IRA are eligible to be rolled over into this account and rollover of assets from any other plan may be ineligible for rollover.
- ▶ I understand that this rollover contribution is irrevocable and involves important tax considerations. Specifically, I understand that a rollover contribution from a pre-tax qualified retirement plan will no longer be eligible for the special averaging, capital gains and separate tax treatment that may be available under my employer's plan. I agree that I am solely responsible for all tax consequences. I also agree that neither the Custodian nor BlackRock Funds shall have responsibility for any such tax consequences or any consequences resulting from this amount being ineligible for rollover. Rules regarding rollovers, and their tax implications, are complex. Please refer to IRS Publication 590 or a professional tax advisor for more information.
- ▶ I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the Custodian will rely on my instructions within this form when accepting my rollover contribution.

Signature of IRA account Owner

Date (mm/dd/yyyy)

 **Questions?** Call us at **1-800-441-7762**, Monday through Friday between 8:00 AM and 6:00 PM ET or visit us online at www.blackrock.com.

Not FDIC Insured | May Lose Value | No Bank Guarantee

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